#### Power Counting & Gravity

The power of counting in gravity & cosmology



R Holman, L Leblond, H.-M. Lee, S Shandera and M. Trott

#### On the shoulders of giants

#### With thanks to Ulf Danielsson

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• Loopy gravity

- Quantifying quantum effects
- Relevance to gravity
  - Inflation
  - de Sitter space
  - Naturalness issues
- Conclusions

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Loopy gravity

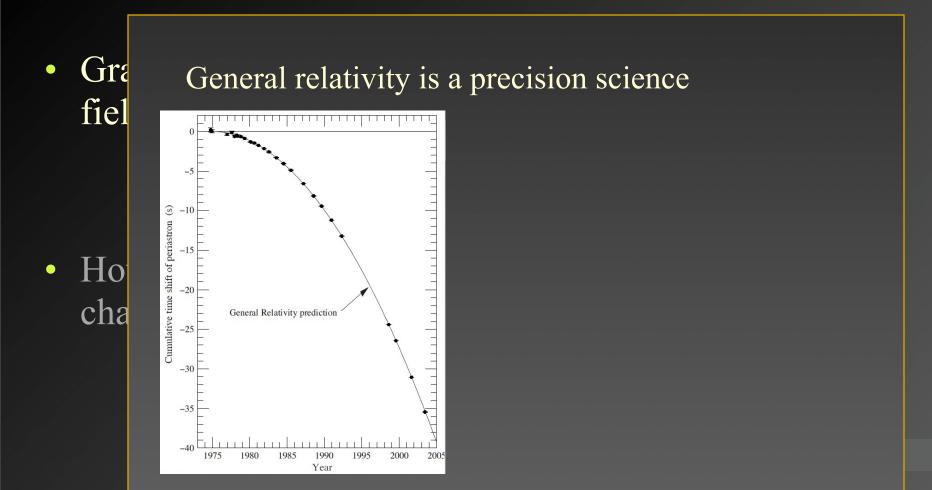
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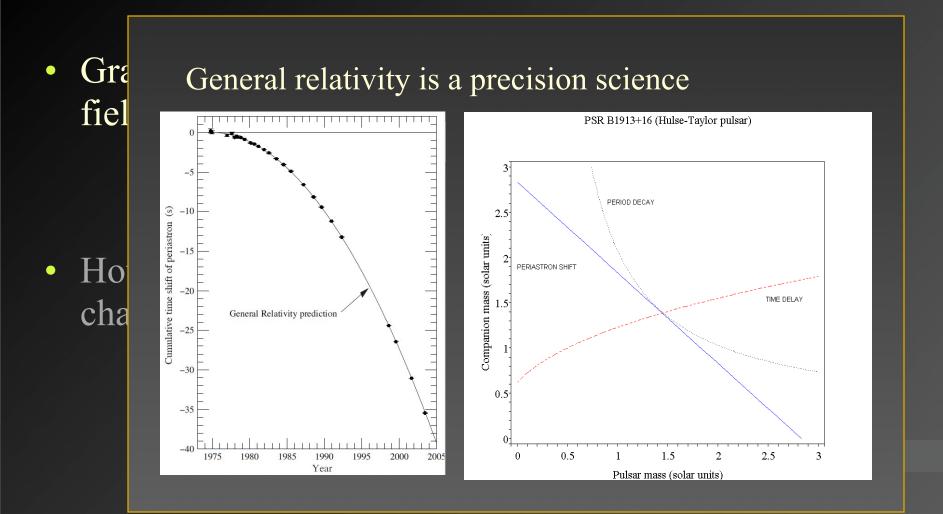
• Gravity as an effective field theory

• How does matter change things?

• Gravity as an effective field theory

• How does matter change things?





General relativity is a precision science fiel Cumulative time shift of periastron (s) -10HO -20cha General Relativity prediction -25 -30-35 2005 Year

( ira

Theoretical predictions use the classical equations of motion

$$R_{\mu\nu} - \frac{1}{2} R g_{\mu\nu} = 8\pi G T_{\mu\nu}$$

usually in weak-field regime  $R_{\mu\nu\lambda\rho}R^{\mu\nu\lambda\rho} \approx \left(\frac{GM}{3}\right)$ 

( tra fiel 0 -5 Cumulative time shift of periastron (s) -10-15HO -20cha -25 -30 -35 -40

Meaningful comparison with experiment requires a quantification of theoretical errors

PPN corrections, plasma effects, loops,...

( tra fiel 0 -5 Cumulative time shift of periastron (s) -10-15HO -20cha -25 -30 -35 -40

Meaningful comparison with experiment requires a quantification of theoretical errors

PPN corrections, plasma effects, loops,...

How can quantum effects be estimated without a theory of quantum gravity, since General Relativity is not renormalizable?

QED

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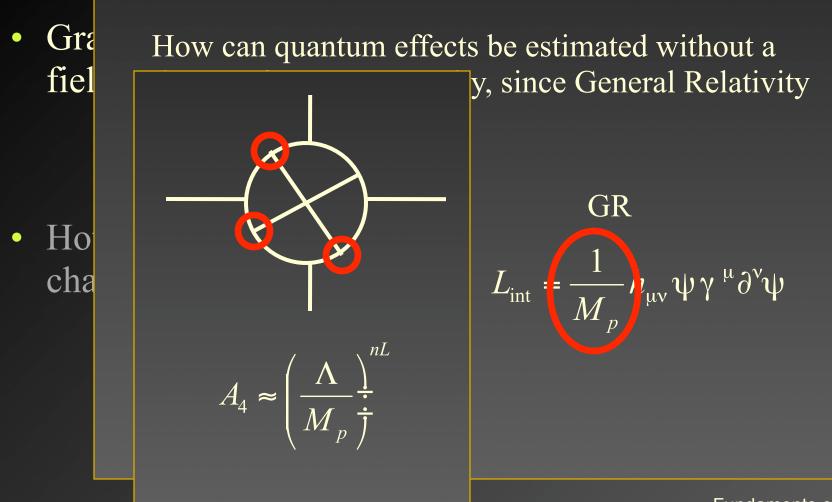
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 $L_{\rm int} = e A_{\mu} \psi \gamma^{\mu} \psi$ 

 $L_{\rm int} = \frac{1}{M_p} h_{\mu\nu} \psi \gamma^{\mu} \partial^{\nu} \psi$ 

GR



In the 60's this was regarded as a major disaster:

Left Brain: G = 0

Η

Precision quantum world in which gravity does not exist. Right Brain: h = 0

Precision gravity world in which quantum mechanics does not exist. vity

In the 60's this we has a major disaster: vity Left Brain Righ ain: h = 0Precision Precis gravity iantum which Η world in vorld ch gravit does not e mechanics ot exist. di

If true, uncontrolled theoretical errors...

Progress: abandon gravitational exceptionalismSoft pionsFermi theory

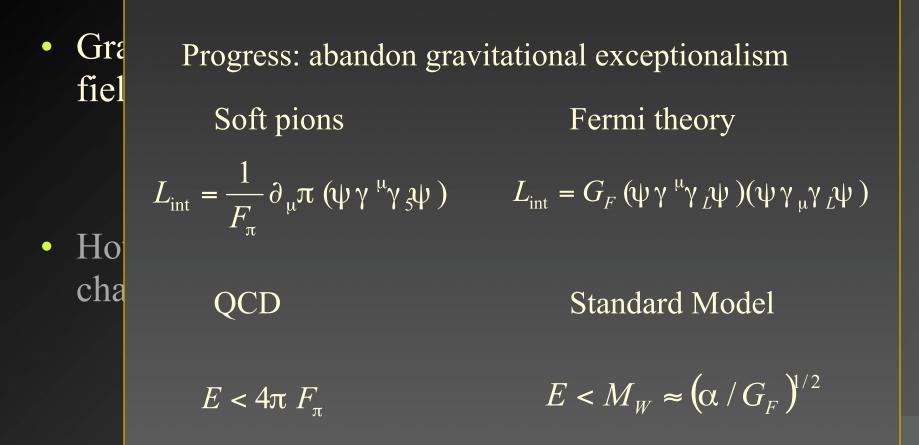
$$L_{\rm int} = \frac{1}{F_{\pi}} \partial_{\mu} \pi \, (\psi \gamma^{\mu} \gamma_{5} \psi)$$

 $L_{\rm int} = G_F (\psi \gamma^{\mu} \gamma_L \psi) (\psi \gamma_{\mu} \gamma_L \psi)$ 

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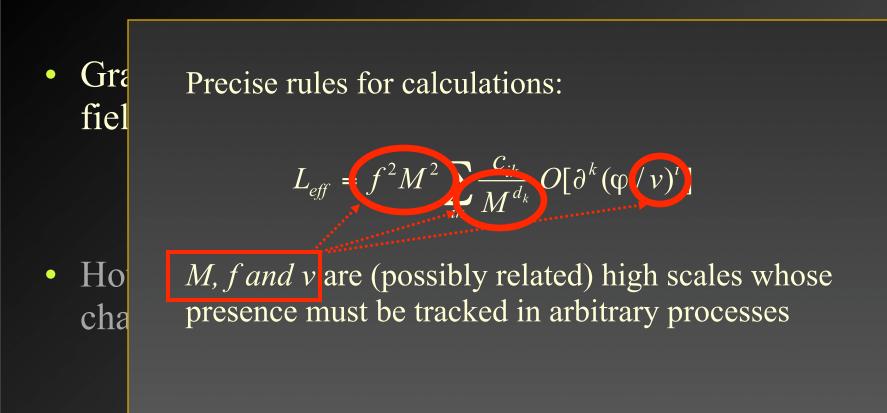
Precise rules for calculations:

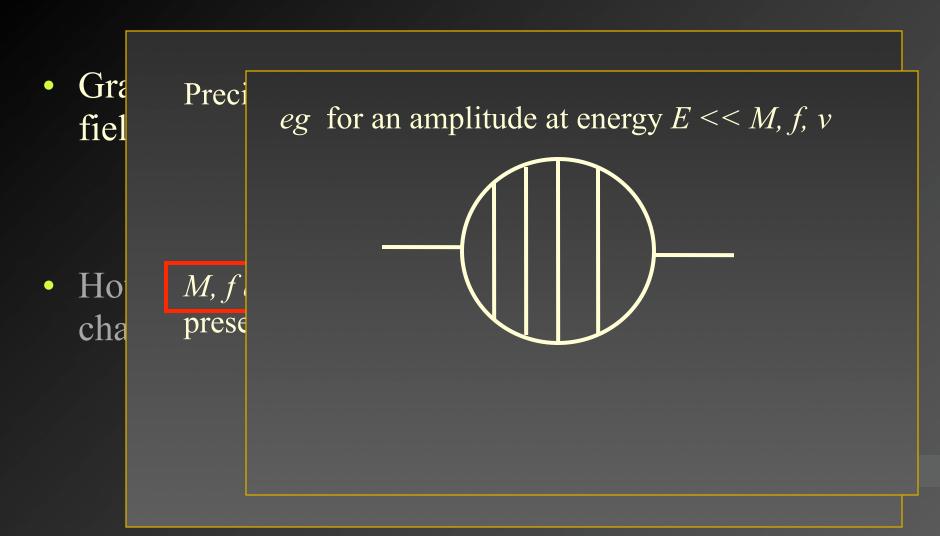
Must include all possible interactions allowed by particle content and symmetries, to any given order in 1/M

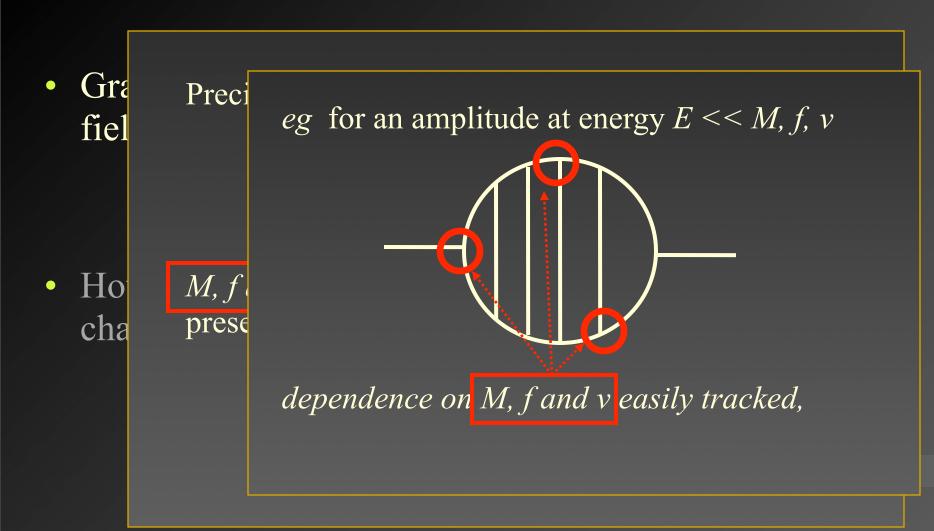
• Ho cha

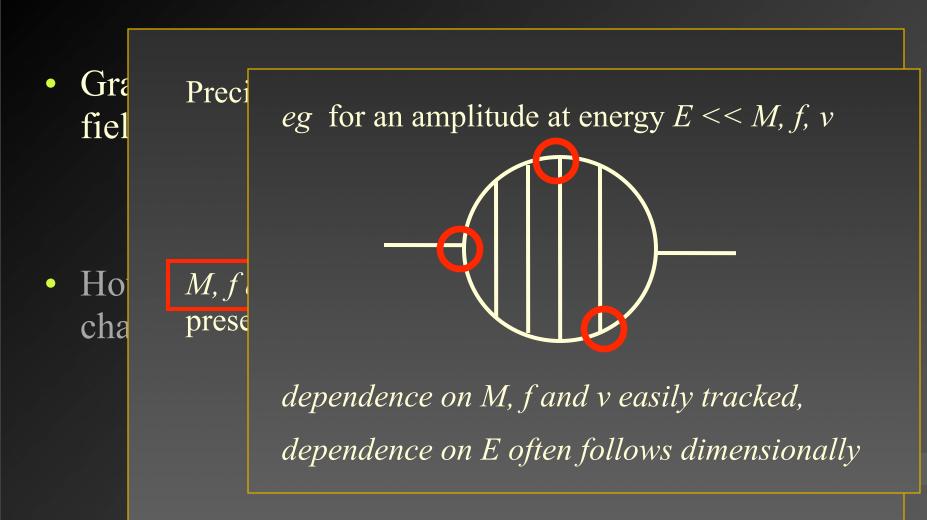
$$L_{eff} = f^2 M^2 \sum_{ik} \frac{c_{ik}}{M^{d_k}} O[\partial^k (\varphi / v)^i]$$

Only a finite number of these appear in observables to any fixed order in E/M: but which ones?









GraphicalPrecidependence on E often follows dimensionally:fieldependence on E often follows dimensionally:This could be (but need not be) obtained in<br/>momentum spaceHo<br/>chaM, f<br/>preseM, f<br/>prese $A(E) \approx \iiint \frac{d^n k_i}{(k_i^2 + E^2)^n} \approx E^{n-2p}$ 

with UV divergences regulated and renormalized using dimensional regularization

Notice that cutoff regularizations are much less useful for these purposes because:

Cutoffs appear as large scales in intermediate steps (such as regularized integrals)

but cutoffs ultimately cancel once these divergences are renormalized, and so do not appear in the final result

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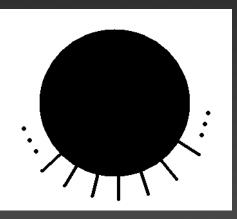
Notice that cutoff regularizations are much less useful for these purposes because:

$$e^{i\Gamma(\varphi)} = \int D\phi_{E<\Lambda} D\phi_{E>\Lambda} e^{iS(\varphi+\phi)}$$
$$= \int D\phi_{E<\Lambda} e^{iS_{\Lambda}(\varphi,\phi)}$$

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*eg: N*-point amplitude *N:* external lines *L:* loops *V<sub>ik</sub>:* vertices with *i* fields, *k* derivs *E:* external energy



• Ho cha

$$A_N(E) \propto \left(\frac{f^4 E^2}{M^2 v^N} \frac{1}{j} \left(\frac{ME}{4\pi f^2} \frac{1}{j}\right)^{2L} \prod_{ik} C_{ik} \left(\frac{E}{M} \frac{1}{j}\right)^{(k-2)V_{ik}}$$

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Regarding GR as the leading term at low-energies:

$$\frac{L}{\sqrt{-g}} = \lambda + \frac{M_p^2}{2}R + c_1R^2 + c_2R_{\mu\nu}R^{\mu\nu} + \frac{c_3}{m^2}R^3 + L$$

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Low-dimension operators are enhanced by the largest scales integrated out to that point (like  $M_p$ )

High-dimension operators are suppressed by the lowest scales integrated out to that point (like  $1/m_e$ )

#### *N*-point amplitude

$$A_N(E) \propto \left(\frac{E^2}{M_p^{N-2}}\right) \frac{1}{j!} \left(\frac{E}{4\pi M_p}\right)^{2L} \prod_{i;k>2} \left(\frac{E}{M_p}\right)^{2V_{ik}} \left(\frac{E}{m}\right)^{(k-4)V_{ik}} \left(\frac{E}{m}\right)^{2V_{ik}} \left(\frac{E}{m}\right)^{(k-4)V_{ik}} \right)$$

#### N-point amplitude

$$A_{N}(E) \propto \left(\frac{E^{2}}{M_{p}^{N-2}}\right) \left(\frac{E}{4\pi M_{p}}\right)^{2L} \prod_{i;k>2} \left(\frac{E}{M_{p}}\right)^{2V_{ik}} \left(\frac{E}{m}\right)^{(k-4)V_{ik}} \left(\frac{E}{m}\right)^{(k-4)V_{ik$$

*Leading* contribution: L = 0 and  $V_{ik} = 0$  for all k > 2 ie: classical GR

#### N-point amplitude

> 4

$$A_{N}(E) \propto \left(\frac{E^{2}}{M_{p}^{N-2}} \frac{1}{j!} \left(\frac{E}{4\pi M_{p}} \frac{1}{j!} \prod_{i;k>2} \left(\frac{E}{M_{p}} \frac{1}{j!} \left(\frac{E}{m} \frac{1}{j!} \left(\frac{E}{m} \frac{1}{j!}\right)\right)\right)\right)$$

Leading contribution: L = 0 and  $V_{ik} = 0$  for all k > 2 ie: classical GR

Next-to-leading: L = 1 and  $V_{ik} = 0$  for all k > 2or L = 0 and  $V_{i4} = 1$  and  $V_{ik} = 0$  for k

N-point amplitude

$$A_{N}(E) \propto \left(\frac{E^{2}}{M_{p}^{N-2}}\right) \left(\frac{E}{4\pi M_{p}}\right)^{2L} \prod_{i;k>2} \left(\frac{E}{M_{p}}\right)^{2V_{ik}} \left(\frac{E}{m}\right)^{(k-4)V} \left(\frac{E}{m}\right)^{(k-4)V}$$

Divergences in these are renormalized by these

$$L = 0$$
 and  $V_{ik} = 0$  for all  $k > 0$ 

Next-to-leading: L = 1 and  $V_{ik} = 0$  for all k > 2or L = 0 and  $V_{i4} = 1$  and  $V_{ik} = 0$  for k

• Gravity as an effective field theory

• How does matter change things?

For scalar fields

$$L = L_{GR} + G_{ab}(\phi) \partial \phi^a \partial \phi^b + V(\phi) + \dots$$

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General dimensional arguments go through if no new scales are introduced by the matter (such as non-relativistic sources)

Scalar potential involves no derivatives so can dominate at low energies, making terms like  $M^2\varphi^2$ or  $M\varphi^3$  potentially dangerous

Loopy gravity

- Quantifying quantum effects
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  - Naturalness issues
- Conclusions

# Relevance to gravity

Inflation

• de Sitter space

• Naturalness issues

• Inflation

• de Sitter space

• Naturalness issues

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*Old idea:* inflation requires a scalar, and the Standard Model has one (the Higgs). Can one scalar do both jobs?

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*Old idea:* inflation requires a scalar, and the Standard Model has one (the Higgs). Can one scalar do both jobs?

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*Old Answer:* No, even though a slow roll is possible for  $V \sim \lambda_H \phi^4$  if  $\phi \gg M_p$ 

 $\varepsilon \approx \eta \approx \left(\frac{M_p}{\phi}\right)^2$ 

Problem: one parameter, $\lambda_H$ , must satisfycontradictory requirements

 $m_{H} \approx \lambda_{H} v,$  $\left(\frac{\delta \rho}{\rho} \frac{1}{\dot{j}}^{2} \propto \lambda_{H}\right)$ 

Bezrukov, Gormunov, & Shaposhnikov

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*New idea:* Higgs inflation might yet work if we take  $L_{SM} + L_{GR} \rightarrow L_{SM} + L_{GR} + \xi R \phi^2$  term added to the SM lagrangian.

In the spirit of effective lagrangian to add all possible terms: this is only one missing to dim 4.

*New parameter,*  $\xi$ *, can set*  $\delta\rho/\rho$  *without ruining*  $m_H$ 

Can potentially obtain predictions for other observables  $-n_s$  etc - in terms of  $\lambda_H$ , and so  $m_H$ .

Spokoiny; Salopek, Bond & Bardeen; Steinhardt

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Potential can still produce a slow roll at large  $\phi$ . After Weyl rescaling the action to Einstein frame  $(M_p^2 + \xi \phi^2) R \rightarrow M_p^2 R$ , get a scalar potential:

$$V = \frac{(m_H^2 \phi^2 + \lambda_H \phi^4)}{\Omega^2} \qquad \Omega = 1 + \frac{\xi \phi^2}{M_p^2}$$

which approaches a constant for  $\phi \gg M_p/\sqrt{\xi}$ .

$$H \approx \frac{\sqrt{\lambda_H} M_p}{\xi}$$

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To use standard inflationary formulae should also redefine the inflaton to canonical kinetic energy:

 $\frac{d\chi}{d\phi} = \left[\frac{\Omega + 6\xi^2 \phi^2 / M_p^2}{\Omega^2}\right]^{1/2} \qquad \Omega = 1 + \frac{\xi \phi^2}{M_p^2}$ so  $\phi \approx \frac{M_p}{\sqrt{\xi}} \exp\left(\frac{\chi}{\sqrt{6}M_p}\frac{1}{\dot{f}}\right)$ and  $V \approx \frac{\lambda_H M_p^4}{\xi^2} \left(1 - Ae^{-a\chi/M_p}\right) + L$ 

Bezrukov, Gormunov, & Shaposhnikov

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Amplitude of primordial perturbations requires  $\xi \sim 10^4$  since

$$\frac{\delta\rho}{\rho} \approx \frac{\sqrt{V/\varepsilon}}{M_p^2} \approx \frac{\sqrt{\lambda_H}}{\xi}$$

*Everything else predictable in terms of parameters linked to Higgs physics!* 



# Question: is this large a dimensionless coupling a problem?

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Question: is this large a dimensionless coupling a problem?

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At first blush, no: the relative size of higher curvature terms is small, even if these are systematically proportional to  $\xi$ :

$$1 + \frac{\xi R}{M_p^2} \approx 1 + \frac{\xi \phi^2}{M_p^2} \approx 1 + \frac{\lambda_H}{\xi} \approx 1$$

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#### CB, Lee & Trott

BUT: there are two kinds of problems:

1. Parameters are on the very edge of the domain of validity of the classical approximation, which is controlled only at energies low compared with  $\Lambda = M_p / \xi$ .

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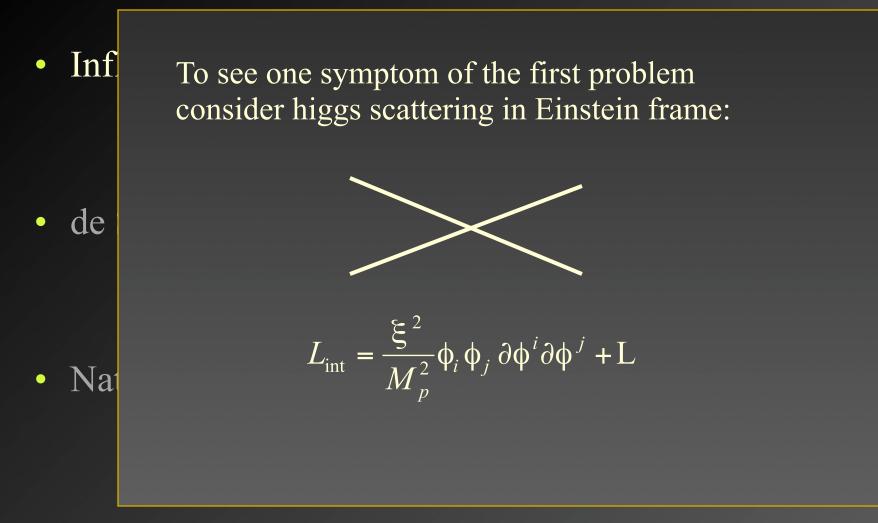
CB, Lee & Trott; Espinosa & Barbon

BUT: there are two kinds of problems:

1. Parameters are on the very edge of the domain of validity of the classical approximation, which is controlled only at energies low compared with  $\Lambda = M_p/\xi$ .

2. *V* and  $f(\phi)$  *R* are usually understood only for small fields: for  $\phi$  *smaller* than  $\Lambda$ .

CB, Lee & Trott; Herzberg



CB, Lee & Trott; Herzberg

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To see one symptom of the first problem consider higgs scattering in Einstein frame:

$$\sigma(E) \approx \left(\frac{\xi E}{M_p^2} \frac{1}{j} > \sigma_{unitarity} \approx \frac{1}{E^2}\right)$$
  
for  $E > \Lambda \sim M_p / \xi \sim H / \sqrt{\lambda}$ 

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Quantum corrections can be dangerous since H is not systematically small compared with  $\Lambda$ .

#### Espinosa & Barbon

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To see the second problem, suppose that before going to Einstein frame we consider:

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 $L \approx \left( m_H^2 \phi^2 + \lambda_H \phi^2 + c \frac{\phi^6}{M^2} + L \frac{1}{j} + \left( \xi \phi^2 + c' \frac{\phi^4}{M^2} + L \frac{1}{j} R + L \right) \right)$ for some M. But: for small  $\phi$ , we have  $\phi = \chi (1 + \xi \chi^2 / M_p^2 + ...)$ so  $\lambda \phi^4 = \lambda \chi^4 (1 + \xi \chi^2 / M_p^2 + ...)$ 

so in the Einstein frame  $M < \Lambda = M_p/\xi$ .

• Inflation

• de Sitter space

• Naturalness issues

Interacting scalar field in de Sitter space is notoriously IR sensitive once  $m \ll H$ .

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$$L = M_p^2 R + (\partial \phi)^2 + \lambda + m^2 \phi^2 + g \phi^4$$

Field profiles become dominated by large fluctuations from one Hubble patch to the next

$$\left\langle \phi^2 \right\rangle \approx \frac{3H^4}{8\pi^2 m^2}$$

This situation is reminiscent of IR sensitivity of thermal fluctuations near a critical point

$$L = (\partial \phi)^{2} + \lambda + m^{2} \phi^{2} + g \phi^{4}$$
$$\rho = \exp(-\beta H)$$

for which Bose-Einstein distribution functions,  $n(k) \sim 1/(e^{\beta k}-1) \sim T/k$  enhance the IR singularities

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Int Can power count how amplitudes diverge in the IR  $A_N(T) \propto \left(\frac{gT}{4\pi^2 m}\right)^L$ so if  $m_{eff}^2(T) \propto \frac{gT^2}{4\pi}$  then  $A_N(T) \propto \left(\frac{g}{4\pi}\right)^{L/2}$ Na but if  $m_{eff}^2(T) = 0$ then mean-field methods

Fundaments of Gravity

completely break down

CB, Holman, Leblond & Shandera

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Similarly scalar fields in de Sitter have IR behaviour

$$\left\langle \phi^2 \right\rangle \propto \left( \frac{gH^2}{4\pi^2 m^2} \frac{1}{\frac{1}{2}} \right)^L$$

so might also expect mean-field (ie semiclassical) methods to completely break down for  $m^2 < g H^2$ 

• Inflation

• de Sitter space

• Naturalness issues

• Inf

Power counting reinforces the problems with light scalars: since scalar masses are UV sensitive, light scalars generically require some explanation.

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Power counting reinforces the problems with light scalars: since scalar masses are UV sensitive, light scalars generically require some explanation.

For instance, inferences about microscopic properties (like supersymmetry or extra dimensions) through classical reasoning applied to astrophysical observables (like dark energy) is generically suspect.

Since small masses are required, classical conclusions are likely dominated by quantum effects.

m

Searches for light scalars are nonetheless interesting provided the relevant quantum effects are included



 $L_{eff} \approx g \phi^2 \psi^2$ 

M

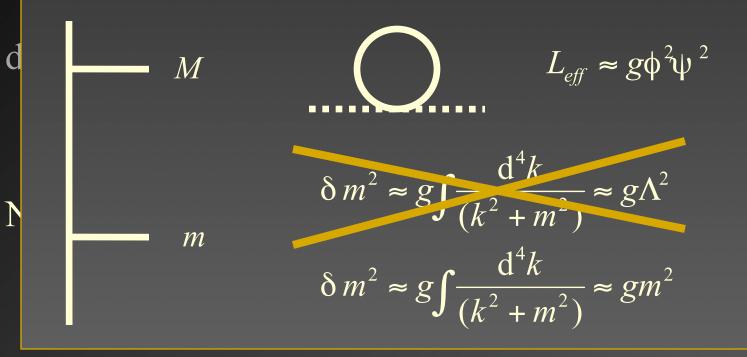
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Searches for light scalars are nonetheless interesting provided the relevant quantum effects are included



$$\delta m^2 \approx g \int \frac{\mathrm{d}^4 k}{(k^2 + m^2)} \approx g \Lambda^2$$

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Searches for light scalars are nonetheless interesting provided the relevant quantum effects are included

$$\delta m^{2} \approx g^{2} M^{2} \int \frac{\mathrm{d}^{4} k}{\left(k^{2} + m^{2}\right)^{2}} \approx g^{2} M^{2}$$

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Power UV divergences are proxies for sensitivity to heavy physical masses

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Are radiative corrections smaller when the gravity scale is hierarchically smaller than  $M_p$ ?

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Balasubramanian, Bergland, Conlon & Quevedo

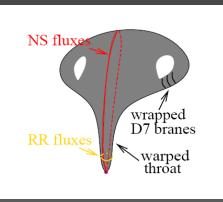
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Are radiative corrections smaller when the gravity scale is hierarchically smaller than  $M_p$ ?

Example: Large-Volume string compactifications of Type IIB vacua

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$$K = -2\ln V + \frac{\xi}{V} + K_{loop} + L$$
$$W = W_0 + A_1 e^{a_1 T_1} + A_2 e^{a_2 T_2}$$

Balasubramanian, Bergland, Conlon & Quevedo

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Are radiative corrections smaller when the gravity scale is hierarchically smaller than  $M_p$ ?

Example: Large-Volume string compactifications of Type IIB vacua

These stabilize moduli at exponentially large volumes

• Nat

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$$V = \left(\frac{L}{1_s}\right)^6 \approx \exp(c\tau_s) \qquad \tau_s \approx -\frac{1}{\xi}$$

Balasubramanian, Bergland, Conlon & Quevedo

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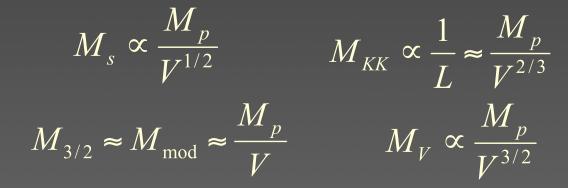
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Are radiative corrections smaller when the gravity scale is hierarchically smaller than  $M_p$ ?

Example: Large-Volume string compactifications of Type IIB vacua

They also predict a rich hierarchy of 4D scalar masses



• 4D Planck scale

String scale

Kaluza Klein scale

10D sugra description

Moduli and gravitino mass scales

4D sugra description

Volume modulus mass scale

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How stable are these against quantum corrections? Example: *moduli masses*  $M_n$ 

 $M_{\text{mod}} \approx \frac{M_p}{V}$ 

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Conlon & Quevedo

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How stable are these against quantum corrections? Example: *moduli masses*  $M_{mod} \approx \frac{M_p}{V}$ 

String loops: generate a' corrections to 10D sugra

$$L_D \approx \frac{1}{g_s^2} \sqrt{-g} g^{\mu\nu} R_{\mu\nu} \left[ 1 + \alpha'^3 \left( g^{mn} R_{mn} \right)^2 \right] + L$$

 $- \underbrace{-}_{eff} = \frac{1}{M_s^2} \phi^3$  ese agai i masses i masses

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ese against quantum corrections?

 $M_{\text{mod}} \approx \frac{M_p}{V}$ erate  $\alpha$ ' corrections to 10D sugra

10D sugra loops: generate KK scale corrections

$$\delta m^{2} \approx \frac{1}{M_{s}^{4}} \int \frac{\mathrm{d}^{10} k}{\left(k^{2} + m^{2}\right)^{2}} \approx \frac{m^{6}}{M_{s}^{4}}$$
$$m \approx M_{s} \longrightarrow \delta m \approx \left(\frac{m}{M_{s}}\frac{1}{j}M_{s} \approx M_{s} \approx \frac{M_{p}}{V^{1/2}}\right)$$

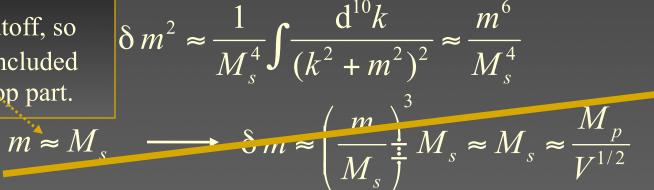
How stable are these against quantum corrections? Example: *moduli masses*  $M_{\text{mod}} \approx \frac{M_p}{V}$ 

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String loops: generate α' corrections to 10D sugra 10D sugra loops: generate KK scale corrections

 $M_s$  is the cutoff, so is already included in string loop part.



ese agai  $L_{eff} \approx \frac{1}{M_s^2} \phi^3$ erate  $\alpha$ 

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ese against quantum corrections?

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10D sugra loops: generate KK scale corrections

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$$m \approx m_{KK} \longrightarrow \delta m \approx \left(\frac{m}{M_{s}}\right)^{3} M_{s} \approx \left(\frac{1}{V^{1/6}}\right)^{3} \frac{M_{p}}{V^{1/2}} \approx \frac{M_{p}}{V}$$

ese against quantum corrections?

 $M_{\rm mod} \approx \frac{M_p}{V}$ 

 $L_{eff} \approx M\phi^{2}$ erate  $\alpha^{2}$  corrections to 10D sugra 4D loops: cutoff is  $M_{KK}$ , largest mass is  $M_{mod}$ 

$$\delta m^2 \approx M^2 \int \frac{\mathrm{d}^4 k}{\left(k^2 + m^2\right)^2} \approx M^2$$

masses

These are deadly for any  $\overline{M}$  bigger than  $M_{mod}$ , but in LV models they are forbidden by 4D susy

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ese against quantum corrections? *i masses*  $M_{\text{mod}} \approx \frac{M_p}{V}$ 

 $M \sim M_{3/2} \sim M_{mod}$ 

erate  $\alpha$  corrections to 10D sugra 4D loops: cutoff is  $M_{KK}$ , largest mass is  $M_{mod}$ 

$$\delta m^2 \approx M^2 \int \frac{\mathrm{d}^4 k}{\left(k^2 + m^2\right)^2} \approx M^2$$

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How stable are these against quantum corrections? Example: *moduli masses*  $M_{mod} \approx \frac{M_p}{V}$ 

Upshot: loops are generically dangerous but can be adequately suppressed by susy. No new miracles

• Na

For volume modulus, classical prediction is likely too low, with radiative corrections lifting its mass

#### Outline

Loopy gravity

• Quantifying quantum effects

#### Relevance to cosmology

- Inflation
- de Sitter space
- Conclusions

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- For most inflationary models, these quantum effects are controllably small, but for some inflation occurs at the edges of the semiclassical regime
- Large fluctuations for massless scalars in de Sitter space might invalidate semiclassical methods in some circumstances.
- Light scalars are notoriously difficult to achieve, but unusual combinations of supersymmetry and extra dimensions may yet surprise us