

# Non-supersymmetric heterotic model building

Stefan Groot Nibbelink

Arnold Sommerfeld Center, Ludwig-Maximilians-University, Munich

based on

arXiv:1407.6362

together with:

Michael Blaszczyk, Orestis Loukas,  
Saul Ramos-Sánchez

# Overview of this talk

- 1 Motivation
- 2 The non-supersymmetric heterotic string
- 3 Orbifold compactifications
- 4 Smooth Calabi-Yau compactifications
- 5 Orbifold model searches
- 6 Conclusion

# MSSM from String Theory

Conventionally in string model building one is looking for string models which get close to the MSSM, i.e.:

- a 4D  $\mathcal{N} = 1$  supersymmetric gauge theory
- gauge group containing  $SU(3)_C \times SU(2)_L \times U(1)_Y$
- three net chiral generations of quarks and leptons
- at least one Higgs doublet pair

# Calabi-Yaus with vector bundles

The basic requirement is that one obtains an effective 4D field theory with  $\mathcal{N} = 1$  SUSY from the heterotic string:

Candelas, Horowitz, Strominger, Witten '85

$$\mathcal{M}^{1,9} \rightarrow \mathcal{M}^{1,3} \times \mathcal{M}^6$$

- a six dimensional Calabi-Yau manifold  $\mathcal{M}^6$  with vanishing first Chern class
- a gauge background satisfying the Hermitean Yang–Mills equations characterized by a vector bundle

# Toroidal orbifold geometries

The idea of orbifolds is that they are very simple geometries yet shared the main property of Calabi–Yau manifolds namely that only 4D  $\mathcal{N} = 1$  SUSY survives.

Dixon, Harvey, Vafa, Witten'85, Ibanez, Mas, Nilles, Quevedo'87

Toroidal orbifolds are defined as

$$T^6/G$$

with some six dimensional torus  $T^6$  and a finite group  $G$ , like  $\mathbb{Z}_N$

# Model building results

## MSSM-like models on Calabi–Yaus:

- Stable  $SU(5)$  vector bundles on Schoen manifold  
Donagi,Ovrut,Pantev,Waldram'00, Bouchard,Donagi'05, Braun,He,Ovrut,Pantev'05
- Line bundles on complete intersection Calabi–Yaus  
Anderson,Gray,Lukas,Palti'11

## MSSM-like models on Orbifolds:

- $T^6/\mathbb{Z}_{6-II}$  Buchmuller,Hamaguchi,Lebedev,Ratz'05,  
Lebedev,Nilles,Raby,Ramos-Sanchez,Ratz,Vaudrevange,Wingerter'06
- $T^6/\mathbb{Z}_{12-I}$  Kim,Kim,Kyae'07
- $T^6/\mathbb{Z}_2 \times \mathbb{Z}_2$  Blaszczyk,SGN,Ratz,Ruehle,Trapletti,Vaudrevange'09
- $T^6/\mathbb{Z}_4 \times \mathbb{Z}_2$  Mayorga-Pena,Nilles,Oehlmann'12
- $T^6/\mathbb{Z}_{8-I,II}$  SGN,Loukas'13

## Comprehensive overview Vaudrevange,Nilles'14

# But where is supersymmetry?

## ATLAS SUSY Searches\* - 95% CL Lower Limits

Status: ICHEP 2014

ATLAS Preliminary

$\sqrt{s} = 7, 8 \text{ TeV}$

Model	$e, \mu, \tau, \gamma$	Jets	$E_T^{\text{miss}}$	$\int \mathcal{L} dt [\text{fb}^{-1}]$	Mass limit	Reference	
Inclusive Searches	MSUGRA/CMSSM	0	2-6 jets	Yes	20.3	$\tilde{q}, \tilde{g}$ 1.7 TeV	$m(\tilde{q})=m(\tilde{g})$ 1405.7875
	MSUGRA/CMSSM	1 $e, \mu$	3-6 jets	Yes	20.3	$\tilde{g}$ 1.2 TeV	any $m(\tilde{q})$ ATLAS-CONF-2013-062
	MSUGRA/CMSSM	0	7-10 jets	Yes	20.3	any $m(\tilde{q})$ 1.1 TeV	1308.1841
	$\tilde{q}\tilde{q}, \tilde{q} \rightarrow q\tilde{\chi}_1^0$	0	2-6 jets	Yes	20.3	$\tilde{q}$ 850 GeV	$m(\tilde{\chi}_1^0)=0 \text{ GeV}, m(1^{\text{st}} \text{ gen. } \tilde{q})=m(2^{\text{nd}} \text{ gen. } \tilde{q})$ 1405.7875
	$\tilde{g}\tilde{g}, \tilde{g} \rightarrow q\tilde{q}\tilde{\chi}_1^0$	0	2-6 jets	Yes	20.3	$\tilde{g}$ 1.33 TeV	$m(\tilde{\chi}_1^0)=0 \text{ GeV}$ 1405.7875
	$\tilde{g}\tilde{g}, \tilde{g} \rightarrow q\tilde{q}\tilde{\chi}_1^0 \rightarrow q\tilde{q}W\tilde{\chi}_1^0$	1 $e, \mu$	3-6 jets	Yes	20.3	$\tilde{g}$ 1.18 TeV	$m(\tilde{\chi}_1^0)<200 \text{ GeV}, m(\tilde{\chi}^{\pm})=0.5(m(\tilde{\chi}_1^0)+m(\tilde{g}))$ ATLAS-CONF-2013-062
	$\tilde{g}\tilde{g}, \tilde{g} \rightarrow q\tilde{q}(\ell\ell/\nu\nu/\nu\nu)\tilde{\chi}_1^0$	2 $e, \mu$	0-3 jets	-	20.3	$\tilde{g}$ 1.12 TeV	$m(\tilde{\chi}_1^0)=0 \text{ GeV}$ ATLAS-CONF-2013-089
	GMSB ( $\tilde{\ell}$ NLSP)	2 $e, \mu$	2-4 jets	Yes	4.7	$\tilde{g}$ 1.24 TeV	$\tan\beta<15$ 1208.4688
	GMSB ( $\tilde{\ell}$ NLSP)	1-2 $\tau$ + 0-1 $\ell$	0-2 jets	Yes	20.3	$\tilde{g}$ 1.6 TeV	$\tan\beta>20$ 1407.0603
	GGM (bino NLSP)	2 $\gamma$	-	Yes	20.3	$\tilde{g}$ 1.28 TeV	$m(\tilde{\chi}_1^0)>50 \text{ GeV}$ ATLAS-CONF-2014-001
	GGM (wino NLSP)	1 $e, \mu$ + $\gamma$	-	Yes	4.8	$\tilde{g}$ 619 GeV	$m(\tilde{\chi}_1^0)>50 \text{ GeV}$ ATLAS-CONF-2012-144
	GGM (higgsino-bino NLSP)	$\gamma$	1 $b$	Yes	4.8	$\tilde{g}$ 900 GeV	$m(\tilde{\chi}_1^0)>220 \text{ GeV}$ 1211.1167
GGM (higgsino NLSP)	2 $e, \mu$ (Z)	0-3 jets	Yes	5.8	$\tilde{g}$ 690 GeV	$m(\text{NLSP})>200 \text{ GeV}$ ATLAS-CONF-2012-152	
Gravitino LSP	0	mono-jet	Yes	10.5	$F^{1/2}$ scale 645 GeV	$m(\tilde{G})>10^{-4} \text{ eV}$ ATLAS-CONF-2012-147	
3 <sup>rd</sup> gen. $\tilde{g}$ med.	$\tilde{g} \rightarrow b\tilde{b}\tilde{\chi}_1^0$	0	3 $b$	Yes	20.1	$\tilde{g}$ 1.25 TeV	$m(\tilde{\chi}_1^0)<400 \text{ GeV}$ 1407.0600
	$\tilde{g} \rightarrow t\tilde{t}\tilde{\chi}_1^0$	0	7-10 jets	Yes	20.3	$\tilde{g}$ 1.1 TeV	$m(\tilde{\chi}_1^0)<350 \text{ GeV}$ 1308.1841
	$\tilde{g} \rightarrow t\tilde{t}\tilde{\chi}_1^+$	0-1 $e, \mu$	3 $b$	Yes	20.1	$\tilde{g}$ 1.34 TeV	$m(\tilde{\chi}_1^0)<400 \text{ GeV}$ 1407.0600
	$\tilde{g} \rightarrow b\tilde{t}\tilde{\chi}_1^+$	0-1 $e, \mu$	3 $b$	Yes	20.1	$\tilde{g}$ 1.3 TeV	$m(\tilde{\chi}_1^0)<300 \text{ GeV}$ 1407.0600
3 <sup>rd</sup> gen. squarks direct production	$\tilde{b}_1\tilde{b}_1, \tilde{b}_1 \rightarrow b\tilde{\chi}_1^0$	0	2 $b$	Yes	20.1	$\tilde{b}_1$ 100-620 GeV	$m(\tilde{\chi}_1^0)<90 \text{ GeV}$ 1308.2631
	$\tilde{b}_1\tilde{b}_1, \tilde{b}_1 \rightarrow t\tilde{\chi}_1^+$	2 $e, \mu$ (SS)	0-3 $b$	Yes	20.3	$\tilde{b}_1$ 275-440 GeV	$m(\tilde{\chi}_1^0)=2 m(\tilde{\chi}_1^+)$ 1404.2500
	$\tilde{t}_1\tilde{t}_1$ (light), $\tilde{t}_1 \rightarrow b\tilde{\chi}_1^+$	1-2 $e, \mu$	1-2 $b$	Yes	4.7	$\tilde{t}_1$ 110-167 GeV	$m(\tilde{\chi}_1^0)=55 \text{ GeV}$ 1208.4305, 1209.2102
	$\tilde{t}_1\tilde{t}_1$ (light), $\tilde{t}_1 \rightarrow Wb\tilde{\chi}_1^0$	2 $e, \mu$	0-2 jets	Yes	20.3	$\tilde{t}_1$ 130-210 GeV	$m(\tilde{\chi}_1^0)=m(\tilde{t}_1)-m(W)-50 \text{ GeV}, m(\tilde{t}_1)<m(\tilde{\chi}_1^+)$ 1403.4853
	$\tilde{t}_1\tilde{t}_1$ (medium), $\tilde{t}_1 \rightarrow b\tilde{\chi}_1^+$	2 $e, \mu$	2 jets	Yes	20.3	$\tilde{t}_1$ 215-530 GeV	$m(\tilde{\chi}_1^0)=1 \text{ GeV}$ 1403.4853
	$\tilde{t}_1\tilde{t}_1$ (medium), $\tilde{t}_1 \rightarrow t\tilde{\chi}_1^0$	0	2 $b$	Yes	20.1	$\tilde{t}_1$ 150-580 GeV	$m(\tilde{\chi}_1^0)<200 \text{ GeV}, m(\tilde{\chi}_1^+)-m(\tilde{\chi}_1^0)=5 \text{ GeV}$ 1308.2631
	$\tilde{t}_1\tilde{t}_1$ (heavy), $\tilde{t}_1 \rightarrow t\tilde{\chi}_1^0$	1 $e, \mu$	1 $b$	Yes	20	$\tilde{t}_1$ 210-640 GeV	$m(\tilde{\chi}_1^0)=0 \text{ GeV}$ 1407.0583
	$\tilde{t}_1\tilde{t}_1$ (heavy), $\tilde{t}_1 \rightarrow t\tilde{\chi}_1^+$	0	2 $b$	Yes	20.1	$\tilde{t}_1$ 260-640 GeV	$m(\tilde{\chi}_1^0)=0 \text{ GeV}$ 1406.1122
	$\tilde{t}_1\tilde{t}_1, \tilde{t}_1 \rightarrow t\tilde{\chi}_1^0$	0	mono-jet/ $e$ -tag	Yes	20.3	$\tilde{t}_1$ 90-240 GeV	$m(\tilde{t}_1)-m(\tilde{\chi}_1^0)<85 \text{ GeV}$ 1407.0608
	$\tilde{t}_1\tilde{t}_1$ (natural GMSB)	2 $e, \mu$ (Z)	1 $b$	Yes	20.3	$\tilde{t}_1$ 150-580 GeV	$m(\tilde{\chi}_1^0)>150 \text{ GeV}$ 1403.5222
$\tilde{t}_2\tilde{t}_2, \tilde{t}_2 \rightarrow \tilde{t}_1 + Z$	3 $e, \mu$ (Z)	1 $b$	Yes	20.3	$\tilde{t}_2$ 290-600 GeV	$m(\tilde{\chi}_1^0)<200 \text{ GeV}$ 1403.5222	
EW direct	$\tilde{L}_R\tilde{L}_R, \tilde{L} \rightarrow \tilde{\chi}_1^0$	2 $e, \mu$	0	Yes	20.3	$\tilde{L}$ 90-325 GeV	$m(\tilde{\chi}_1^0)=0 \text{ GeV}$ 1403.5294
	$\tilde{\chi}_1^+\tilde{\chi}_1^-, \tilde{\chi}_1^0 \rightarrow \tilde{\ell}\nu(\tilde{\nu})$	2 $e, \mu$	0	Yes	20.3	$\tilde{\chi}_1^{\pm}$ 140-465 GeV	$m(\tilde{\chi}_1^0)=0 \text{ GeV}, m(\tilde{\ell}, \tilde{\nu})=0.5(m(\tilde{\chi}_1^+)+m(\tilde{\chi}_1^0))$ 1403.5294
	$\tilde{\chi}_1^+\tilde{\chi}_1^-, \tilde{\chi}_1^0 \rightarrow \tilde{\tau}\nu(\tilde{\nu})$	2 $\tau$	-	Yes	20.3	$\tilde{\chi}_1^{\pm}$ 100-350 GeV	$m(\tilde{\chi}_1^0)=0 \text{ GeV}, m(\tilde{\tau}, \tilde{\nu})=0.5(m(\tilde{\chi}_1^+)+m(\tilde{\chi}_1^0))$ 1407.0350
	$\tilde{\chi}_1^+\tilde{\chi}_2^0 \rightarrow \tilde{\ell}_1\nu_{\tilde{\ell}_1}(\tilde{\nu}_\tau), \tilde{\nu}_\tau\tilde{\ell}_1(\tilde{\nu}_\nu)$	3 $e, \mu$	0	Yes	20.3	$\tilde{\chi}_1^+, \tilde{\chi}_2^0$ 700 GeV	$m(\tilde{\chi}_1^+)=m(\tilde{\chi}_2^0), m(\tilde{\chi}_1^0)=0, m(\tilde{\ell}, \tilde{\nu})=0.5(m(\tilde{\chi}_1^+)+m(\tilde{\chi}_1^0))$ 1402.7029
	$\tilde{\chi}_1^+\tilde{\chi}_2^0 \rightarrow W\tilde{\chi}_1^0 Z\tilde{\chi}_1^0$	2-3 $e, \mu$	0	Yes	20.3	$\tilde{\chi}_1^+, \tilde{\chi}_2^0$ 420 GeV	$m(\tilde{\chi}_1^+)=m(\tilde{\chi}_2^0), m(\tilde{\chi}_1^0)=0, \text{sleptons decoupled}$ 1403.5294, 1402.7029
	$\tilde{\chi}_1^+\tilde{\chi}_2^0 \rightarrow W\tilde{\chi}_1^0 h\tilde{\chi}_1^0$	1 $e, \mu$	2 $b$	Yes	20.3	$\tilde{\chi}_1^+, \tilde{\chi}_2^0$ 285 GeV	$m(\tilde{\chi}_1^+)=m(\tilde{\chi}_2^0), m(\tilde{\chi}_1^0)=0, \text{sleptons decoupled}$ ATLAS-CONF-2013-093
	$\tilde{\chi}_1^0\tilde{\chi}_2^0 \rightarrow \tilde{\ell}_R\tilde{\ell}$	4 $e, \mu$	0	Yes	20.3	$\tilde{\chi}_1^0, \tilde{\chi}_2^0$ 620 GeV	$m(\tilde{\chi}_2^0)=m(\tilde{\chi}_1^0), m(\tilde{\chi}_1^0)=0, m(\tilde{\ell}, \tilde{\nu})=0.5(m(\tilde{\chi}_2^0)+m(\tilde{\chi}_1^0))$ 1405.5086
	Long-lived particles	Direct $\tilde{\chi}_1^+\tilde{\chi}_1^-$ prod., long-lived $\tilde{\chi}_1^+$	Disapp. trk	1 jet	Yes	20.3	$\tilde{\chi}_1^+$ 270 GeV
Stable, stopped $\tilde{g}$ R-hadron		0	1-5 jets	Yes	27.9	$\tilde{g}$ 832 GeV	$m(\tilde{\chi}_1^0)=100 \text{ GeV}, 10 \mu\text{s}<\tau(\tilde{g})<1000 \text{ s}$ 1310.8584
GMSB, stable $\tilde{\tau}, \tilde{\chi}_1^0 \rightarrow \tilde{\tau}(\tilde{e}, \tilde{\mu})+\tau(e, \mu)$		1-2 $e, \mu$	-	-	15.9	$\tilde{\chi}_1^0$ 475 GeV	$10<\tan\beta<50$ ATLAS-CONF-2013-058
GMSB, $\tilde{\chi}_1^0 \rightarrow \gamma G$ , long-lived $\tilde{\chi}_1^0$		2 $\gamma$	-	Yes	4.7	$\tilde{\chi}_1^0$ 230 GeV	$0.4<\tau(\tilde{\chi}_1^0)<2 \text{ ns}$ 1304.6310
$\tilde{q}\tilde{q}, \tilde{\chi}_1^0 \rightarrow q\tilde{q}\mu$ (RPV)		1 $\mu$ , displ. vtx	-	-	20.3	$\tilde{q}$ 1.0 TeV	$1.5<c\tau<156 \text{ mm}, \text{BR}(\mu)=1, m(\tilde{\chi}_1^0)=108 \text{ GeV}$ ATLAS-CONF-2013-092
RPV	LFV $pp \rightarrow \tilde{\nu}_\tau + X, \tilde{\nu}_\tau \rightarrow e + \mu$	2 $e, \mu$	-	-	4.6	$\tilde{\nu}_\tau$ 1.61 TeV	$\lambda'_{311}=0.10, \lambda'_{132}=0.05$ 1212.1272
	LFV $pp \rightarrow \tilde{\nu}_\tau + X, \tilde{\nu}_\tau \rightarrow e(\mu) + \tau$	1 $e, \mu$ + $\tau$	-	-	4.6	$\tilde{\nu}_\tau$ 1.1 TeV	$\lambda'_{311}=0.10, \lambda'_{1233}=0.05$ 1212.1272
	Bilinear RPV CMSSM	2 $e, \mu$ (SS)	0-3 $b$	Yes	20.3	$\tilde{q}, \tilde{g}$ 1.35 TeV	$m(\tilde{q})=m(\tilde{g}), c\tau_{LSP}<1 \text{ mm}$ 1404.2500
	$\tilde{\chi}_1^+\tilde{\chi}_1^-, \tilde{\chi}_1^0 \rightarrow W\tilde{\chi}_1^0 \tilde{\chi}_1^0 \rightarrow ee\nu_\mu, e\mu\nu_e$	4 $e, \mu$	-	Yes	20.3	$\tilde{\chi}_1^+, \tilde{\chi}_2^0$ 750 GeV	$m(\tilde{\chi}_1^0)>0.2 \times m(\tilde{\chi}_1^+), \lambda'_{121} \neq 0$ 1405.5086
	$\tilde{\chi}_1^+\tilde{\chi}_1^-, \tilde{\chi}_1^0 \rightarrow W\tilde{\chi}_1^0 \tilde{\chi}_1^0 \rightarrow \tau\tau\nu_e, e\tau\nu_\tau$	3 $e, \mu$ + $\tau$	-	Yes	20.3	$\tilde{\chi}_1^+, \tilde{\chi}_2^0$ 450 GeV	$m(\tilde{\chi}_1^0)>0.2 \times m(\tilde{\chi}_1^+), \lambda'_{133} \neq 0$ 1405.5086
	$\tilde{g} \rightarrow q\tilde{q}q$	0	6-7 jets	-	20.3	$\tilde{g}$ 916 GeV	$\text{BR}(\ell)=\text{BR}(b)=\text{BR}(c)=0\%$ ATLAS-CONF-2013-091
$\tilde{g} \rightarrow \tilde{t}_1 t, \tilde{t}_1 \rightarrow b s$	2 $e, \mu$ (SS)	0-3 $b$	Yes	20.3	$\tilde{g}$ 850 GeV	1404.2500	
Other	Scalar gluon pair, $sgluon \rightarrow q\tilde{q}$	0	4 jets	-	4.6	$sgluon$ 100-287 GeV	incl. limit from 1110.2693 1210.4826
	Scalar gluon pair, $sgluon \rightarrow \tilde{t}\tilde{t}$	2 $e, \mu$ (SS)	2 $b$	Yes	14.3	$sgluon$ 350-800 GeV	ATLAS-CONF-2013-051
	WIMP interaction (D5, Dirac $\chi$ )	0	mono-jet	Yes	10.5	$M^*$ scale 704 GeV	$m(\chi)<80 \text{ GeV}, \text{limit of } <687 \text{ GeV for D8}$ ATLAS-CONF-2012-147

$\sqrt{s} = 7 \text{ TeV}$  full data  
 $\sqrt{s} = 8 \text{ TeV}$  partial data  
 $\sqrt{s} = 8 \text{ TeV}$  full data

10<sup>-1</sup> 1 Mass scale [TeV]

# Maybe we should look for non-supersymmetric string models...

Previous attempts:

- Free fermionic construction with non-supersymmetric boundary conditions

Dienes'94,'06, Faraggi,Tsulaia'07

- Non-supersymmetric orbifolds of heterotic theories

Chamseddine,Derendinger,Quiros'88, Taylor'88, Toon'90, Sasada'95, Font,Hernandez'02

- Non-supersymmetric orientifold type II theories

Sagnotti'95, Angelantonj'98 Blumenhagen,Font,Luest'99, Aldazabal,Ibanez,Quevedo'99

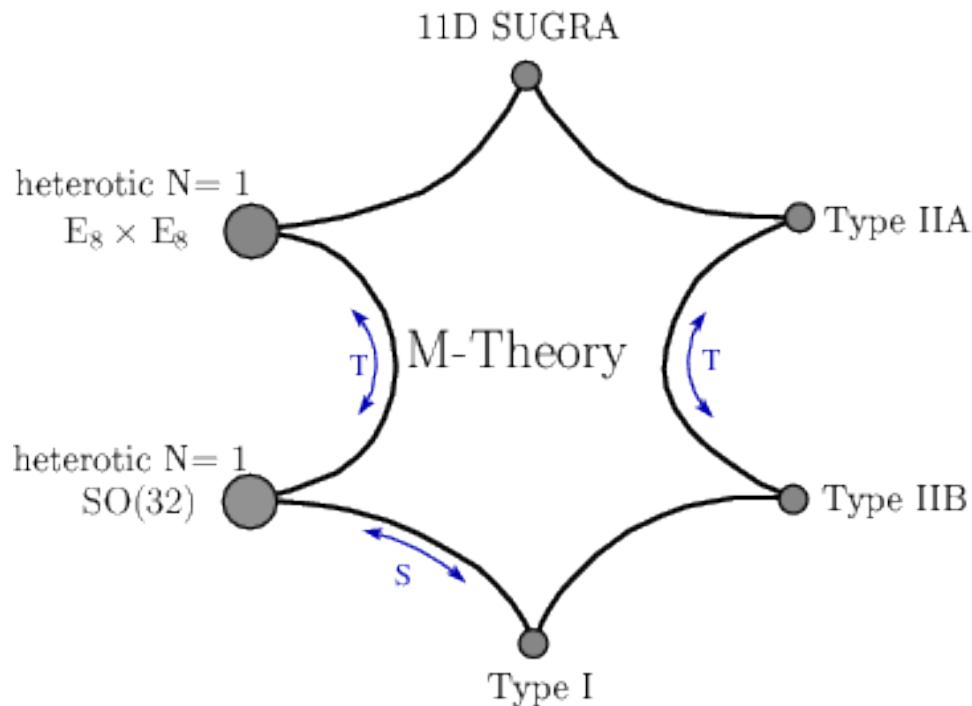
- Non-supersymmetric RCFTs

Gato-Rivera,Schellekens'07



# Well-known 10D string theories

The M-theory cartoon displays the modular invariant, anomaly- and tachyon-free 10D string theories:



However, it disregards one interesting heterotic string theory...

# The non-supersymmetric heterotic string

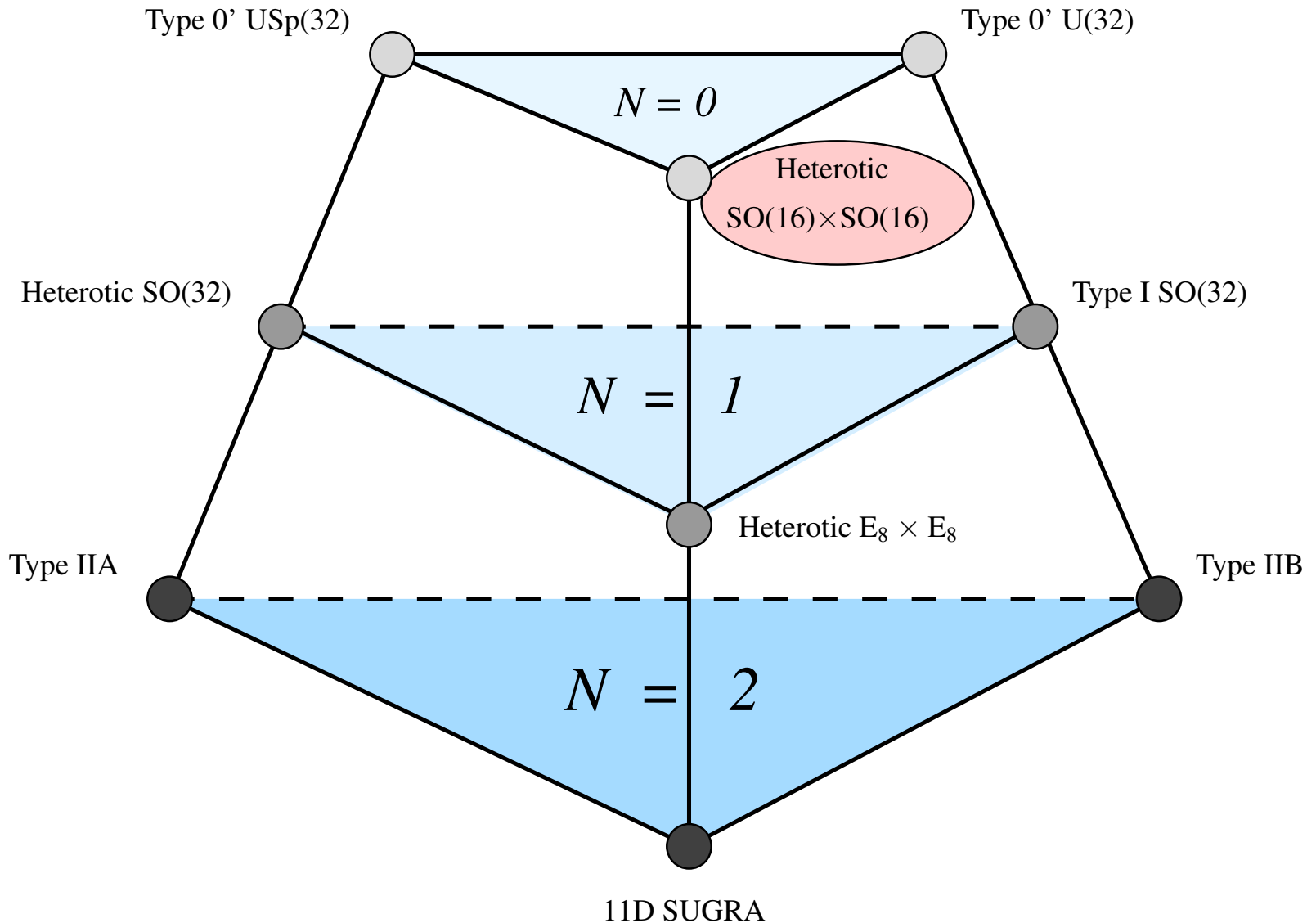
The low-energy spectrum of the N=0 heterotic string reads:

Dixon,Harvey'86, Alvarez-Gaume,Ginsparg,Moore,Vafa'86

	Fields	10D space-time interpretation
Bosons	$G_{MN}, B_{MN}, \phi$	Graviton, Kalb-Ramond 2-form, Dilaton
	$A_M$	$SO(16) \times SO(16)$ Gauge fields
Fermions	$\psi_+$	Spinors in the $(\mathbf{128}, \mathbf{1}) + (\mathbf{1}, \mathbf{128})$
	$\psi_-$	Cospinors in the $(\mathbf{16}, \mathbf{16})$

This theory is also modular invariant, anomaly- and tachyon-free but obviously not supersymmetric

# 10D tachyon-free (non)SUSY string theories



# Construction of the N=0 heterotic string I

Introduce discrete torsion phases in the  $E_8 \times E_8$  heterotic string:

I.e. replace the partition function:

$$\mathbf{z}_{E_8^2} = \sum_{\text{spin}} \mathbf{z}_8^X(\tau, \bar{\tau}) \cdot \widehat{\mathbf{z}}_4 \left[ \begin{smallmatrix} s \\ s' \end{smallmatrix} \right] (\tau) \cdot \overline{\widehat{\mathbf{z}}_8 \left[ \begin{smallmatrix} t \\ t' \end{smallmatrix} \right] (\tau)} \cdot \overline{\widehat{\mathbf{z}}_8 \left[ \begin{smallmatrix} u \\ u' \end{smallmatrix} \right] (\tau)}$$

(where  $s, t, u$  label the spin structures) by:

$$\mathbf{z}_{N=0} = \sum_{\text{spin}} T \cdot \mathbf{z}_8^X(\tau, \bar{\tau}) \cdot \widehat{\mathbf{z}}_4 \left[ \begin{smallmatrix} s \\ s' \end{smallmatrix} \right] (\tau) \cdot \overline{\widehat{\mathbf{z}}_8 \left[ \begin{smallmatrix} t \\ t' \end{smallmatrix} \right] (\tau)} \cdot \overline{\widehat{\mathbf{z}}_8 \left[ \begin{smallmatrix} u \\ u' \end{smallmatrix} \right] (\tau)}$$

with torsion phase

$$T = (-)^{st' - s't} * \dots * (-)^{s's + s'+s} * \dots$$

# Construction of the N=0 heterotic string II

Perform the  $\mathbb{Z}_2$  orbifold of the supersymmetric  $E_8 \times E_8$  in the lattice formulation:

$$\mathbf{Z}_{E_8^2} = \mathbf{Z}_8^X(\tau, \bar{\tau}) \cdot \Gamma_4(\tau) \cdot \overline{\Gamma_{16}(\tau)}$$

where  $\Gamma_4$  and  $\Gamma_{16}$  are appropriate lattice sums

The  $\mathbb{Z}_2$  orbifold is defined by twist  $v_0$  and gauge shift  $V_0$ :

$$v_0 = (0, 1^3), \quad V_0 = (1, 0^7)(-1, 0^7)$$

which breaks target space supersymmetry completely

# Appendix I: Some lattices

	Weight lattice	Lattice vectors
$\mathbf{R}_D$	Root	$n \in \mathbb{Z}^D, \sum n_i \in 2\mathbb{Z}$
$\mathbf{V}_D$	Vector	$n \in \mathbb{Z}^D, \sum n_i \in 2\mathbb{Z} + 1$
$\mathbf{S}_D$	Spinor	$n \in \mathbb{Z}^D + \frac{1}{2}\mathbf{e}_D, \sum n_i \in 2\mathbb{Z}$
$\mathbf{C}_D$	Cospinor	$n \in \mathbb{Z}^D + \frac{1}{2}\mathbf{e}_D, \sum n_i \in 2\mathbb{Z} + 1$
$\Gamma_4$	Space-time	$\mathbf{V}_4 \oplus \mathbf{S}_4$
$\mathbf{E}_8$	$\mathbf{E}_8$ Root	$\mathbf{R}_8 \oplus \mathbf{S}_8$
$\Gamma_{16}$	$\mathbf{E}_8 \times \mathbf{E}_8$ Root	$\mathbf{E}_8 \oplus \mathbf{E}_8$

This orbifolding replaces the **red lattices** by **green lattices**:

	Sector (s,t,u)	Lattices in the theory	
		N=1, $E_8 \times E_8$	N=0, $SO(16) \times SO(16)$
Bosons	(1,1,1)	$V_4 \otimes R_8 \otimes R_8$	$V_4 \otimes R_8 \otimes R_8$
	(1,0,0)	$V_4 \otimes S_8 \otimes S_8$	$V_4 \otimes S_8 \otimes S_8$
	(1,0,1)	$V_4 \otimes S_8 \otimes R_8$	$R_4 \otimes C_8 \otimes V_8$
	(1,1,0)	$V_4 \otimes R_8 \otimes S_8$	$R_4 \otimes V_8 \otimes C_8$
Fermions	(0,0,1)	$S_4 \otimes S_8 \otimes R_8$	$S_4 \otimes S_8 \otimes R_8$
	(0,1,0)	$S_4 \otimes R_8 \otimes S_8$	$S_4 \otimes R_8 \otimes S_8$
	(0,1,1)	$S_4 \otimes R_8 \otimes R_8$	$C_4 \otimes V_8 \otimes V_8$
	(0,0,0)	$S_4 \otimes S_8 \otimes S_8$	$C_4 \otimes C_8 \otimes C_8$

# Orbifolding the N=0 theory

A  $\mathbb{Z}_N$  orbifold is defined by the worldsheet boundary conditions:

$$X^i(\sigma + 1) = e^{2\pi i k v_j} X^i(\sigma), \quad \psi^i(\sigma + 1) = e^{2\pi i (\frac{s}{2} + k v_j)} \psi^i(\sigma),$$

$$\lambda'_1(\sigma + 1) = e^{2\pi i (\frac{t}{2} + k V_{1l})} \lambda'_1(\sigma), \quad \lambda'_2(\sigma + 1) = e^{2\pi i (\frac{u}{2} + k V_{2l})} \lambda'_2(\sigma)$$

encoded in a twist  $v$  and gauge shift  $V = (V_1; V_2)$  with:

$$N v_j \equiv 0, \quad N V_{1,2} \in \mathbf{E}_8$$



# Conditions from modular invariance

We focus  $\mathbb{Z}_N$  orbifold twists that would preserve at least 4D, N=1 supersymmetry if we apply to the  $E_8 \times E_8$  theory:

$$V = (v_1, v_2, -v_1 - v_2)$$

We require that we have modular invariant partition function for the orbifolded N=0 theory in the lattice formulation:

$$\frac{N}{2} (V^2 - v^2) \equiv V_0 \cdot V \equiv 0$$

The spectra can be computed as usual from the partition function...

# Some $\mathbb{Z}_3$ orbifold models

Orbifold shift $V$ Gauge group $G$	Massless spectrum on orbifold: chiral fermions / complex bosons
$\frac{1}{3}(0, 1^2, -2, 0^4)(0^8)$ $U(3) \times SO(10) \times SO(16)'$	$3(\mathbf{3}, \mathbf{1}; \mathbf{16}) + 3(\bar{\mathbf{3}}, \bar{\mathbf{16}}; \mathbf{1}) + 27(\mathbf{1}, \bar{\mathbf{16}}; \mathbf{1}) + (\mathbf{1}, \bar{\mathbf{16}}; \mathbf{1})$ $+ (\mathbf{1}, \mathbf{16}; \mathbf{1}) + (\mathbf{1}; \mathbf{128}) + (\mathbf{1}, \mathbf{10}; \mathbf{16}) + 27(\mathbf{1}; \mathbf{16})$ $81(\bar{\mathbf{3}}, \mathbf{1}; \mathbf{1}) + 3(\mathbf{3}, \mathbf{1}; \mathbf{1}) + 3(\mathbf{3}, \mathbf{10}; \mathbf{1}) + 27(\mathbf{1}; \mathbf{1}) + 27(\mathbf{1}, \mathbf{10}; \mathbf{1})$
$\frac{1}{3}(1^6, 0^2)(1^6, 0^2)$ $U(6) \times SO(4) \times U(6)' \times SO(4)'$	$3(\bar{\mathbf{6}}, \mathbf{2}_-; \mathbf{1}) + 3(\mathbf{1}; \bar{\mathbf{6}}, \mathbf{2}_-) + 3(\mathbf{15}, \mathbf{2}_+; \mathbf{1}) + 3(\mathbf{1}; \mathbf{15}, \mathbf{2}_+)$ $+ 3(\bar{\mathbf{6}}, \mathbf{1}; \bar{\mathbf{6}}, \mathbf{1}) + 3(\mathbf{1}, \mathbf{4}; \mathbf{6}, \mathbf{1}) + 3(\mathbf{6}, \mathbf{1}; \mathbf{1}, \mathbf{4}) + (\mathbf{20}, \mathbf{2}_-; \mathbf{1})$ $+ (\mathbf{1}; \mathbf{20}, \mathbf{2}_-) + (\mathbf{1}, \mathbf{4}; \mathbf{1}, \mathbf{4}) + 29(\mathbf{1}; \mathbf{1}, \mathbf{2}_+) + 29(\mathbf{1}, \mathbf{2}_+; \mathbf{1})$ $+ (\mathbf{6}, \mathbf{1}; \bar{\mathbf{6}}, \mathbf{1}) + (\bar{\mathbf{6}}, \mathbf{1}; \mathbf{6}, \mathbf{1}) + 27(\mathbf{1}, \mathbf{2}_-; \mathbf{1}, \mathbf{2}_-)$ $3(\bar{\mathbf{15}}, \mathbf{1}; \mathbf{1}) + 3(\mathbf{1}; \bar{\mathbf{15}}, \mathbf{1}) + 3(\mathbf{6}, \mathbf{4}; \mathbf{1}) + 3(\mathbf{1}; \mathbf{6}, \mathbf{4})$ $+ 27(\mathbf{1}, \mathbf{2}_+; \mathbf{1}, \mathbf{2}_+) + 27(\mathbf{1}; \mathbf{1})$
$\frac{1}{3}(1^8)(1^4, 0^4)$ $U(8) \times U(4)' \times SO(8)'$	$3(\mathbf{8}; \mathbf{1}, \mathbf{8}_s) + 3(\mathbf{1}; \mathbf{1}, \mathbf{8}_c) + 3(\mathbf{1}; \mathbf{4}, \mathbf{8}_v) + 3(\bar{\mathbf{28}}; \mathbf{1}) + 3(\bar{\mathbf{8}}; \bar{\mathbf{4}}, \mathbf{1})$ $+ (\mathbf{70}; \mathbf{1}) + (\mathbf{1}; \mathbf{6}, \mathbf{8}_c) + 27(\mathbf{1}; \mathbf{1}, \mathbf{6}) + 81(\mathbf{1}; \mathbf{1}) + 3(\mathbf{1}; \mathbf{1})$ $+ (\mathbf{8}; \bar{\mathbf{4}}, \mathbf{1}) + (\bar{\mathbf{8}}; \mathbf{4}, \mathbf{1})$ $3(\bar{\mathbf{28}}; \mathbf{1}) + 3(\mathbf{1}; \mathbf{6}, \mathbf{1}) + 3(\mathbf{1}; \mathbf{4}, \mathbf{8}_c) + 27(\mathbf{1}; \mathbf{1}, \mathbf{8}_s) + 27(\mathbf{1}; \mathbf{1})$

All models are free of non-Abelian anomalies and possess at most one universal anomalous  $U(1)$

# Twisted tachyons

In some twisted sectors tachyons may arise for certain orbifolds:

Orbifold	Twist	Tachyons	Orbifold	Twists	Tachyons
$T^6/\mathbb{Z}_3$	$\frac{1}{3}(1, 1, -2)$	forbidden	$T^6/\mathbb{Z}_2 \times \mathbb{Z}_2$	$\frac{1}{2}(1, -1, 0); \frac{1}{2}(0, 1, -1)$	forbidden
$T^6/\mathbb{Z}_4$	$\frac{1}{4}(1, 1, -2)$	forbidden	$T^6/\mathbb{Z}_2 \times \mathbb{Z}_4$	$\frac{1}{2}(1, -1, 0); \frac{1}{4}(0, 1, -1)$	possible
$T^6/\mathbb{Z}_{6-I}$	$\frac{1}{6}(1, 1, -2)$	possible	$T^6/\mathbb{Z}_2 \times \mathbb{Z}_{6-I}$	$\frac{1}{2}(1, -1, 0); \frac{1}{6}(1, 1, -2)$	possible
$T^6/\mathbb{Z}_{6-II}$	$\frac{1}{6}(1, 2, -3)$	possible	$T^6/\mathbb{Z}_2 \times \mathbb{Z}_{6-II}$	$\frac{1}{2}(1, -1, 0); \frac{1}{6}(0, 1, -1)$	possible
$T^6/\mathbb{Z}_7$	$\frac{1}{7}(1, 2, -3)$	possible	$T^6/\mathbb{Z}_3 \times \mathbb{Z}_3$	$\frac{1}{3}(1, -1, 0); \frac{1}{3}(0, 1, -1)$	possible
$T^6/\mathbb{Z}_{8-I}$	$\frac{1}{8}(1, 2, -3)$	possible	$T^6/\mathbb{Z}_3 \times \mathbb{Z}_6$	$\frac{1}{3}(1, -1, 0); \frac{1}{6}(0, 1, -1)$	possible
$T^6/\mathbb{Z}_{8-II}$	$\frac{1}{8}(1, 3, -4)$	possible	$T^6/\mathbb{Z}_4 \times \mathbb{Z}_4$	$\frac{1}{4}(1, -1, 0); \frac{1}{4}(0, 1, -1)$	possible
$T^6/\mathbb{Z}_{12-I}$	$\frac{1}{12}(1, 4, -5)$	possible	$T^6/\mathbb{Z}_6 \times \mathbb{Z}_6$	$\frac{1}{6}(1, -1, 0); \frac{1}{6}(0, 1, -1)$	possible
$T^6/\mathbb{Z}_{12-II}$	$\frac{1}{12}(1, 5, -6)$	possible			

The **red entries** indicate that for these orbifolds twisted oscillator states may even arise

When tachyons are possible this does not mean that all such orbifold models actually have tachyons

# Smooth Calabi-Yau compactifications

In principle we could compactify the N=0 theory on any smooth 6D manifold  $\mathcal{M}^6$ .

But then we do not have any practical computation control to compute spectra!

Therefore we consider smooth Calabi-Yau compactifications with complex vector bundles of the heterotic N=0 theory

Subject to the Bianchi identities

$$\int_{\mathcal{C}^4} \{ \text{tr } \mathcal{R}^2 - \text{tr } \mathcal{F}^2 \} = 0 ,$$

for any closed four-cycle  $\mathcal{C}^4 \subset \mathcal{M}^6$

# Computation of the fermionic spectrum

For the determination of fermionic spectra we can rely on conventional methods

- (representation dependent) index theorems:  $\text{ind}(i\mathcal{D})$
- cohomology theory

In particular for line bundle backgrounds we may employ the multiplicity operator

$$\mathcal{N} = \frac{1}{6} \mathcal{F}_2^3 - \frac{1}{24} \mathcal{F}_2 \text{tr} \mathcal{R}_2^2$$

evaluated on all fermionic states

# Computation of the bosonic spectrum

On a generic six-manifold I don't know how to determine the spectrum or even the number of zero modes of the Laplace operator  $\Delta$ .

But for a smooth Calabi-Yau manifold  $\mathcal{M}^6$  with a vector bundle we can use that the Laplace operator  $\Delta$  for complex scalars is related to the Dirac operator  $i\mathcal{D}$  of the would be supersymmetric fermionic partners.

Hence, we can also (representation dependent) indices and cohomology theory to determine the spectra of complex scalars.

# Further consequences of using a would-be supersymmetry preserving background

- To leading order there are no tachyon on smooth Calabi-Yau backgrounds in the large volume approximation
- To leading order the scalar potential  $V$  is determined by F- and D-terms:

$$V = \sum_a \left| \frac{\partial \mathcal{W}}{\partial Z^a} \right|^2 + \frac{1}{2} D^2$$

where  $\mathcal{W}$  is the hypothetical superpotential of the would-be chiral superfields  $Z^a$  whose lowest components are the (massless) complex scalars.

# Standard embedding compactifications

In the standard embedding we have the gauge embedding:

$$\mathrm{SO}(16) \times \mathrm{SO}(16)' \longrightarrow \mathrm{SO}(10) \times \mathrm{U}(1) \times \mathrm{SO}(16)'$$

Hence the standard embedding already gives an  $\mathrm{SO}(10)$  GUT!

Multiplicity	Complex bosons	Chiral fermions
1	—	$(\mathbf{16}; \mathbf{1})_3 + (\overline{\mathbf{16}}; \mathbf{1})_{-3}$ $+ (\mathbf{1}; \mathbf{128})_0 + (\mathbf{10}; \mathbf{16})_0$
$h^{1,1}$	$(\mathbf{10}; \mathbf{1})_2 + (\mathbf{1}; \mathbf{1})_{-4}$	$(\mathbf{16}; \mathbf{1})_{-1} + (\mathbf{1}; \mathbf{16})_{-2}$
$h^{1,2}$	$(\mathbf{10}; \mathbf{1})_{-2} + (\mathbf{1}; \mathbf{1})_4$	$(\overline{\mathbf{16}}; \mathbf{1})_1 + (\mathbf{1}; \mathbf{16})_2$
$h^1(\mathrm{End}(V))$	$(\mathbf{1}; \mathbf{1})_0$	—

The net number of  $\mathbf{16}$  of  $\mathrm{SO}(10)$  is determined by:  $h^{1,1} - h^{2,1}$



# Resolutions of $\mathbb{Z}_3$ orbifolds

Line bundle vector $W$ Gauge group $G$	Massless spectrum in blow-up: chiral fermions / complex bosons
$\frac{1}{3}(0, 2^3, 0^4)(0^8)$ $U(3) \times SO(10) \times SO(16)'$	$3(\mathbf{3}, \mathbf{1}; \mathbf{16})_2 + 3(\overline{\mathbf{3}}, \overline{\mathbf{16}}; \mathbf{1})_1 + 27(\mathbf{1}, \overline{\mathbf{16}}; \mathbf{1})_{-3}$ $78(\overline{\mathbf{3}}, \mathbf{1}; \mathbf{1})_{-4} + 3(\mathbf{3}, \mathbf{10}; \mathbf{1})_{-2}$
$\frac{1}{3}(1^6, 0^2)(1^6, 0^2)$ $U(6) \times SO(4) \times U(6)' \times SO(8)'$	$3(\overline{\mathbf{6}}, \mathbf{2}; \mathbf{1})_{-2} + 3(\mathbf{1}; \overline{\mathbf{6}}, \mathbf{2})_{-2} + 3(\mathbf{15}, \mathbf{2}; \mathbf{1})_1 + 3(\mathbf{1}; \mathbf{15}, \mathbf{2})_1$ $+ 3(\overline{\mathbf{6}}, \mathbf{1}; \overline{\mathbf{6}}, \mathbf{1})_{-2} + 3(\mathbf{6}, \mathbf{1}; \mathbf{1}, \mathbf{4})_1 + 3(\mathbf{1}, \mathbf{4}; \mathbf{6}, \mathbf{1})_1$ $+ 27(\mathbf{1}, \mathbf{2}; \mathbf{1})_{-3} + 27(\mathbf{1}; \mathbf{1}, \mathbf{2})_{-3}$ $3(\overline{\mathbf{15}}, \mathbf{1}; \mathbf{1})_{-2} + 3(\mathbf{1}; \overline{\mathbf{15}}, \mathbf{1})_{-2} + 3(\mathbf{6}, \mathbf{4}; \mathbf{1})_1 + 3(\mathbf{1}; \mathbf{6}, \mathbf{4})_1$
$\frac{1}{3}(1^8)(1^4, 0^4)$ $U(8) \times U(4)' \times SO(4)$	$3(\mathbf{8}; \mathbf{1}, \mathbf{8}_v)_1 + 3(\mathbf{1}; \mathbf{1}, \mathbf{8}_s)_{-2} + 3(\mathbf{1}; \mathbf{4}, \mathbf{8}_c)_1 + 3(\overline{\mathbf{28}}; \mathbf{1})_{-2}$ $+ 3(\overline{\mathbf{8}}; \overline{\mathbf{4}}, \mathbf{1})_{-2} + 78(\mathbf{1}; \mathbf{1})_{-4}$ $3(\overline{\mathbf{28}}; \mathbf{1})_{-2} + 3(\mathbf{1}; \mathbf{6}, \mathbf{1})_{-2} + 3(\mathbf{1}; \mathbf{4}, \mathbf{8}_v)_1$

These spectra :

- are free of non-Abelian anomalies
- match orbifold spectra up to decoupling of vector-like states

# Standard Model-like theories

Orbifold twist	#(geom)	Inequivalent scanned models	Tachyon-free percentage	SM-like tachyon-free models		
				total	one-Higgs	two-Higgs
$\mathbb{Z}_3$	(1)	74,958	100 %	128	0	0
$\mathbb{Z}_4$	(3)	1,100,336	100 %	12	0	0
$\mathbb{Z}_{6-I}$	(2)	148,950	55 %	59	18	0
$\mathbb{Z}_{6-II}$	(4)	15,036,790	57 %	109	0	1
$\mathbb{Z}_{8-I}$	(3)	2,751,085	51 %	24	0	0
$\mathbb{Z}_{8-II}$	(2)	4,397,555	71 %	187	1	1
$\mathbb{Z}_2 \times \mathbb{Z}_2$	(12)	9,546,081	100 %	1,562	0	5
$\mathbb{Z}_2 \times \mathbb{Z}_4$	(10)	17,054,154	67 %	7,958	0	89
$\mathbb{Z}_3 \times \mathbb{Z}_3$	(5)	11,411,739	52 %	284	0	1
$\mathbb{Z}_4 \times \mathbb{Z}_4$	(5)	15,361,570	64 %	2,460	0	6

Obtained implementing the SUSY breaking  $\mathbb{Z}_2$  orbifolding of the lattice formulation in the "Orbifolder package"

Nilles,Ramos-Sanchez,Vaudrevange,Wingerter'12

# Appendix II: Some definitions

Two orbifold models on the same orbifold geometry are equivalent when they have:

- identical massless bosonic and fermionic and possibly tachyonic spectra up to charges under Abelian factors

Standard Model-like:

- the gauge group contains the SM gauge group with the  $SU(5)$  normalization of the non-anomalous hypercharge  $Y$
- a net number of three generations of chiral fermions
- at least one Higgs scalar field
- vector-like exotic fermions w.r.t. the SM gauge group

# A Standard Model-like theory with three generations and a single Higgs

Sector	Massless spectrum: chiral fermions / complex bosons
Observable	$3(\mathbf{3}, \mathbf{2})_{1/6} + 3(\bar{\mathbf{3}}, \mathbf{1})_{-2/3} + 6(\bar{\mathbf{3}}, \mathbf{1})_{1/3} + 3(\mathbf{3}, \mathbf{1})_{-1/3} + 3(\mathbf{1}, \mathbf{1})_1 + 5(\mathbf{1}, \mathbf{2})_{-1/2} + 2(\mathbf{1}, \mathbf{2})_{1/2} + 20(\mathbf{1}, \mathbf{1})_{1/2} + 20(\mathbf{1}, \mathbf{1})_{-1/2} + 6(\mathbf{3}, \mathbf{1})_{1/6} + 6(\bar{\mathbf{3}}, \mathbf{1})_{-1/6} + 2(\mathbf{1}, \mathbf{2})_0$
Obs. & Hid.	$3(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{2})_{1/2} + 3(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{2})_{-1/2}$
Hidden	$14(\mathbf{1}, \mathbf{2})_0 + 10(\bar{\mathbf{4}}, \mathbf{1})_0 + 6(\mathbf{4}, \mathbf{1})_0 + 3(\mathbf{6}, \mathbf{1})_0 + 2(\mathbf{4}, \mathbf{2})_0 + 71(\mathbf{1})_0$
Observable	$(\mathbf{1}, \mathbf{2})_{-1/2}$ $(\mathbf{3}, \mathbf{1})_{1/6} + (\bar{\mathbf{3}}, \mathbf{1})_{-1/6} + 2(\bar{\mathbf{3}}, \mathbf{1})_{1/3} + 13(\mathbf{1}, \mathbf{2})_0 + 20(\mathbf{1}, \mathbf{1})_{-1/2} + 18(\mathbf{1}, \mathbf{1})_{1/2}$
Obs. & Hid.	$(\mathbf{1}, \mathbf{1}; \mathbf{4}, \mathbf{1})_{1/2} + (\mathbf{1}, \mathbf{1}; \mathbf{4}, \mathbf{1})_{-1/2} + (\mathbf{1}, \mathbf{2}; \mathbf{1}, \mathbf{2})_0$
Hidden	$14(\mathbf{1}, \mathbf{2})_0 + 4(\mathbf{4}, \mathbf{1})_0 + (\mathbf{6}, \mathbf{2})_0 + 23(\mathbf{1})_0$

This model with gauge groups  $G_{\text{obs}} = \text{SU}(3)_C \times \text{SU}(2)_L \times \text{U}(1)_Y$ ,  
 $G_{\text{hid}} = \text{SU}(4) \times \text{SU}(2)$ :

- contains vector-like fermionic and bosonic exotics
- in particular there are states that are charged under both the hidden and the SM gauge group.

# Summary

We investigate smooth and orbifold compactifications of the non-supersymmetric heterotic  $SO(16) \times SO(16)$  string.

On smooth Calabi-Yau backgrounds we could recycle

- commonly employed techniques to determine both the fermionic and bosonic 4D spectra
- and argue that the  $N=0$  theory never leads to tachyons on smooth Calabi-Yaus.

However, twisted tachyons may arise on certain singular orbifolds.

We have performed SM-like model searches on selected orbifold geometries and found over 12 thousand SM-like theories

# Outlook

There are various very serious open issues:

- The Higgs mass will be quadratically dependent on the high scale
- The cosmological constant will be of the order of the string scale
- Associated with the cosmological constant, a destabilizing dilaton tadpole will be generated
- Tachyons may arise perturbatively and non-perturbatively