#### Proof of a Universal Lower Bound on $\eta/s$

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+MEDVED 0908.1473

#### +MEDVED

0808.3498,0810.2193, 0901.2191 +GORBONOS, HADAD 0712.3206 +G 0902.1553, +H 0903.0823 +DVALI, VENEZIANO 0907.5516

Quadratic, 2-derivatives effective action for perturbations
 Image: Image: Image: brane thermodynamics & hydrodynamics (linear) for generalized theories of gravity

• Geometry  $\rightarrow$  entropy density calibrated to Einstein value

• Unitarity  $\rightarrow$  preferred direction on the space of couplings

# Outline

• KSS bound:  $\eta / s \ge 1/4\pi$ 

Both η, s couplings of 2-derivatives effective action
→ no apparent reason for directionality of deviation from Einstein gravity
Preferred direction
Idea 1: Entropy is always a geometric quantity → The entropy density can be calibrated to its Einstein value
Idea 2: (non-trivial) Extensions of Einstein can only increase the number of gravitational DOF →
Unitarity forces increase of couplings (including η)

Hydro of generalized gravity  

$$S = \int dt dr d^{p} x \sqrt{-g} L(g_{\mu\nu}, R^{\mu\nu}{}_{\rho\sigma}, \phi, \nabla \phi, ...)$$

Ω

$$L = \frac{1}{16\pi G_E} R + \lambda L_{corr}$$

Expand to quadratic order in gauge invariant fields Z<sub>i</sub> and to quadratic order derivatives, diagonalize  $g_{\mu\nu} = \overline{g}_{\mu\nu} + h_{\mu\nu}, \phi = \overline{\phi} + \phi$ 

$$S^{(2)} = \int dt dr d^{p} x \frac{1}{(\kappa_{eff}^{2}(r))_{i}} (\overline{\nabla} Z_{i})^{2}$$

$$Z_i \sim e^{i\Omega t - iQz}$$
  $q = \frac{Q}{2\pi T}, w = \frac{\Omega}{2\pi T}$ 

Liu+Iqbal:0809.3808 Einstein gravity **R.B+MEDVED:**generalized gravity 0808.3498,0810.2193, 0901.2191

The effective gravitational coupling: Einstein gravity

$$\frac{1}{16 \pi G_{\mathrm{E}}} \sqrt{-g} R = \frac{1}{16 \pi G_{\mathrm{E}}} \sqrt{-\overline{g}} \left( \overline{R} + \mathscr{L}_{EH}^{(2)} \right)$$

$$g_{\mu\nu}(x) = \bar{g}_{\mu\nu}(x) + \kappa h_{\mu\nu} \qquad \kappa^2 = 32\pi G_E$$

 $h = h^{\lambda}{}_{\lambda}$ 

$$\begin{aligned} \mathscr{L}_{EH}^{(2)} &= \frac{1}{2} \overline{\nabla}_{\alpha} h_{\mu\nu} \overline{\nabla}^{\alpha} h^{\mu\nu} - \frac{1}{2} \overline{\nabla}_{\alpha} h \overline{\nabla}^{\alpha} h + \overline{\nabla}_{\alpha} h \overline{\nabla}_{\beta} h^{\alpha\beta} \\ &- \overline{\nabla}_{\alpha} h_{\mu\beta} \overline{\nabla}^{\beta} h^{\mu\alpha} + \overline{R} \left( \frac{1}{2} h^2 - \frac{1}{2} h_{\mu\nu} h^{\mu\nu} \right) \\ &+ \overline{R}^{\mu\nu} \left( 2 h^{\alpha}_{\ \mu} h_{\nu\alpha} - h h_{\mu\nu} \right) \end{aligned}$$

The effective gravitational coupling: Generalized gravity

$$I = \int d^D x \sqrt{-g} \,\mathscr{L}\left(R_{\rho\mu\lambda\nu}, g_{\mu\nu}, \nabla_{\sigma} R_{\rho\mu\lambda\nu}, \phi, \nabla\phi, \ldots\right)$$

$$g_{\mu\nu} = \overline{g}_{\mu\nu} + h_{\mu\nu}$$

n

Contributions to the graviton kinetic terms must appear through factors of the Riemann tensor (or it derivatives) in the action.

$$\delta I = \int d^D x \sqrt{-g} \, \frac{\delta \mathscr{L}}{\delta R_{\rho\mu\lambda\nu}} \delta R_{\rho\mu\lambda\nu}$$

RB+GORBONOS, HADAD 0712.3206

# The effective gravitational coupling: General action

$$\delta I^{(2)} = \int d^D x \left\{ \left( \sqrt{-g} \ \frac{\delta \mathscr{L}}{\delta R_{\rho\mu\lambda\nu}} \right)^{(0)} \ \delta R^{(2)}_{\rho\mu\lambda\nu} + \right\}$$

$$\delta R_{\rho\mu\lambda\nu} = \nabla_{\lambda}\delta\Gamma_{\nu\mu\rho} - \nabla_{\nu}\delta\Gamma_{\lambda\mu\rho}$$

$$\delta I^{(2)} = \int d^D x \sqrt{-\overline{g}} \, \frac{1}{2} \left( \frac{\delta \mathscr{L}}{\delta R_{\rho\mu\lambda\nu}} \right)^{(0)} \left( \overline{\nabla}_{\delta} h_{\lambda\mu} \overline{\nabla}^{\delta} h_{\nu\rho} + 2\overline{\nabla}^{\delta} h_{\lambda\rho} \overline{\nabla}_{\mu} h_{\nu\delta} \right)$$

#### Kinetic matrix, coupling constant matrix

(1)

 $\delta R^{(1)}_{\rho\mu\lambda\nu}$ 

 $\delta Z$ 

-g –

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✓ Idea 1: Entropy is always a geometric quantity → Entropy density can always be calibrated to its Einstein value

Idea 2: (non-trivial) Extensions of Einstein can only increase the number of gravitational DOF  $\rightarrow$  Unitarity forces increase of couplings (including  $\eta$ )

# Wald's entropy

 $abla_a \chi_b \epsilon_{cd}$ 

Wald '93

$$I = \int d^D x \sqrt{-g} \,\mathscr{L}\left(R_{\rho\mu\lambda\nu}, g_{\mu\nu}, \nabla_{\sigma} R_{\rho\mu\lambda\nu}, \phi, \nabla\phi, \ldots\right)$$

 Stationary BH solutions with bifurcating Killing horizons

$$S_W = -\frac{1}{T} \oint_{\Sigma} \left( \frac{\delta \mathscr{L}}{\delta R_{abcd}} \right)^{(0)}$$

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$$S_W = \frac{1}{4} \frac{A}{G_{eff}}$$

$$\delta I^{(2)} = \int d^D x \sqrt{-\overline{g}} \, \frac{1}{2} \left( \frac{\delta \mathscr{L}}{\delta R_{\rho\mu\lambda\nu}} \right)^{(0)} \left( \overline{\nabla}_{\delta} h_{\lambda\mu} \overline{\nabla}^{\delta} h_{\nu\rho} + 2\overline{\nabla}^{\delta} h_{\lambda\rho} \overline{\nabla}_{\mu} h_{\nu\delta} \right)$$

$$S_W = -2\pi \oint_{\Sigma} \left( \frac{\delta \mathscr{L}}{\delta R_{abcd}} \right)^{(0)} \hat{\epsilon}_{ab} \overline{\epsilon}_{cd}$$

Wald's prescription picks a specific polarization and location - horizon

$$\left(\frac{\delta \mathscr{L}}{\delta R_{\rho\mu\lambda\nu}}\right)^{(0)} = \frac{1}{"\kappa^{2}"}$$

Spherically symmetric, static BHs  $\rightarrow \kappa_{rt}$ 

### Calibration of entropy density

$$S_E = V_\perp r_h^p / (4G_E)$$

Fixed geometry Fixed charges

$$S_X = \frac{V_{\perp} r_h^p}{4G_X} = \frac{V_{\perp} r_h^p}{4G_E + \lambda \delta G} + \mathcal{O}[\lambda^2] \frac{\text{ps}}{\text{hetween } r_h}$$

Transform to (leading order) "Einstein frame" Can be done order by order in  $\lambda$ 

$$g_{\mu\nu} \to \tilde{g}_{\mu\nu} = e^{\Omega} g_{\mu\nu} \qquad \Omega = -\frac{2}{p} \lambda \delta G / G_E$$

rescale

$$G_X \to G_{\tilde{X}} = G_E$$

To preserve the form of leading term

$$(16\pi G)^{-1}\sqrt{-g}\mathcal{R}$$

Change the units of  $G_X$  such that its numerical value is equal to  $G_E$ The value of  $r_h$  = largest zero of  $|g_{tt}/g_{rr}|$  is not changed

$$S_{\widetilde{X}} = \frac{\widetilde{V}_{\perp} r_{h}^{p}}{4G_{\widetilde{X}}} = \frac{\left(V_{\perp} - \lambda \frac{\delta G}{G_{E}}\right) r_{h}^{p}}{4G_{E}} + \mathcal{O}[\lambda^{2}] \stackrel{r_{h} \text{ largest zero of}}{|g_{tt}/g_{rr}|} \xrightarrow{>} \text{not changed}$$
$$S_{\widetilde{X}}/S_{E} = \widetilde{V}_{\perp}/V_{\perp} \implies s_{\widetilde{X}} = s_{E}$$
Preferred direction determined by  $\eta$  only!

- Entropy invariant to field redefinitions  $S_{\tilde{X}} = S_X$
- Entropy density not invariant
- Calibration possible: entropy  $\leftarrow \rightarrow$  geometry

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Unitarity forces increase of couplings (including  $\eta$ )

### The graviton propagator in Einstein gravity

$$[\mathcal{D}(q^2)]^{\ \nu\ \beta}_{\mu\ \alpha}\ \equiv\ \langle h_{\mu}^{\ \nu}(q)h_{\alpha}^{\ \beta}(-q)\rangle$$

Gravitons exchanged between two conserved sources One graviton exchange approximation h's << 1 In Einstein gravity: a single massless spin-2 graviton

$$[\mathcal{D}(q^2)]^{\nu\beta}_{\mu\alpha} = \rho_E(q) \left[ \delta^{\beta}_{\mu} \delta^{\nu}_{\alpha} - \frac{1}{2} \delta^{\nu}_{\mu} \delta^{\beta}_{\alpha} \right] \frac{G_E}{q^2}$$
$$\rho_E(0) = 1$$

Vectors do not contribute to exchanges between conserved sources

## First appearance of a preferred direction

$$[\mathcal{D}(q^2)]_{\mu\alpha}^{\nu\beta} = \rho_E(q^2) \left[ \delta_{\mu}^{\beta} \delta_{\alpha}^{\nu} - \frac{1}{2} \delta_{\mu}^{\nu} \delta_{\alpha}^{\beta} \right] \frac{G_E}{q^2}$$

$$\rho_E(0) = 1$$
Spectral decomposition
$$\frac{\rho_E(q^2)}{q^2} = \int \frac{\rho_E(s)}{q^2 - s} ds$$
semiclassical unitarity
$$\rho_E(s) \ge 0$$
RB+ DVALI, VENEZIANO 0907.5516
DVALI: MANY PAPERS
$$\Rightarrow \rho_E(q^2) \text{ can only increase towards the UV}$$

$$\Rightarrow \text{ Mass screening is not allowed}$$

The graviton propagator in generalized gravity

- massless spin-2 graviton
- massive spin-2 graviton
- scalar gravitons

$$\begin{aligned} \left[\mathcal{D}(q^2)\right]^{\nu\beta}_{\mu\alpha} &= \left(\rho_E(q^2) + \rho_{NE}(q^2)\right) \left[\delta^{\beta}_{\mu}\delta^{\nu}_{\alpha} - \frac{1}{2}\delta^{\nu}_{\mu}\delta^{\beta}_{\alpha}\right] \frac{G_E}{q^2} \\ &+ \sum_i \rho^i_{NE}(q^2) \left(\delta^{\beta}_{\mu}\delta^{\nu}_{\alpha} - \frac{1}{3}\delta^{\nu}_{\mu}\delta^{\beta}_{\alpha}\right) \frac{G_E}{q^2 - m_i^2} \\ &+ \sum_j \widetilde{\rho}^j_{NE}(q^2) \delta^{\nu}_{\mu}\delta^{\beta}_{\alpha} \frac{G_E}{q^2 - \widetilde{m}_j^2}. \end{aligned}$$

Gravitons exchanged between two conserved sources One graviton exchange approximation h's << 1 Vectors do not contribute to exchanges between conserved sources Gravitational couplings of generalized gravity can only increase compared to Einstein gravity

$$\frac{\rho_{NE}(q^2)}{q^2} = \int \frac{\rho_{NE}(s)}{q^2 - s} ds$$

semiclassical unitarity  $\rho_{NE}(s) \ge 0$ 

Spectral decomposition

$$\rho_{NE}(q^2) \ge 0$$

$$\begin{aligned} [\mathcal{D}(q^2)]^{\nu\beta}_{\mu\alpha} &= \left(\rho_E(q^2) + \rho_{NE}(q^2)\right) \left[\delta^{\beta}_{\mu}\delta^{\nu}_{\alpha} - \frac{1}{2}\delta^{\nu}_{\mu}\delta^{\beta}_{\alpha}\right] \frac{G_E}{q^2} \\ &+ \sum_i \rho^i_{NE}(q^2) \left(\delta^{\beta}_{\mu}\delta^{\nu}_{\alpha} - \frac{1}{3}\delta^{\nu}_{\mu}\delta^{\beta}_{\alpha}\right) \frac{G_E}{q^2 - m_i^2} \\ &+ \sum_j \widetilde{\rho}^j_{NE}(q^2) \left[\delta^{\nu}_{\mu}\delta^{\beta}_{\alpha}\frac{G_E}{q^2 - \widetilde{m}_j^2}\right]. \end{aligned}$$

#### The shear viscosity as a gravitational coupling

$$S = \int dt dr d^{p} x \sqrt{-g} L\left(g_{\mu\nu}, R^{\mu\nu}{}_{\rho\sigma}, \phi, \nabla\phi, \ldots\right)$$

$$g_{\mu\nu} = \overline{g}_{xy} + h_{xy} \qquad L = \frac{1}{16\pi G_{E}} R + \lambda L_{corr}$$

$$S^{(2)} = \int dt dr d^{p} x \frac{1}{(\kappa_{eff}^{2}(r))_{xy}} (\overline{\nabla} Z_{x}^{y})^{2} \qquad Z_{x}^{y} \sim e^{i\Omega t - iQz}$$
In the hydro limit
$$q = \frac{Q}{2\pi T}, w = \frac{\Omega}{2\pi T} \ll 1 \qquad \left\langle Z_{x}^{y} Z_{y}^{x} \right\rangle \sim \eta$$

See also 0811.1665 CAI, NIE, SUN The shear viscosity of generalized gravity (and its FT dual) can <u>only</u> increase compared to its Einstein gravity value

$$\frac{\eta_X}{\eta_E} = \left[\frac{\langle h_x^{\ y} h_y^{\ x} \rangle_X}{\langle h_x^{\ y} h_y^{\ x} \rangle_E}\right] = 1 + \frac{1}{\rho_E(0)} \sum_i \rho_{NE}^i(0)$$

$$\rho_{E}(0) = 1, \, \rho_{NE}(0) \ge 0 \Longrightarrow \frac{\eta_{X}}{\eta_{E}} \ge 1$$

Only spin-2 particles with 
$$q = \frac{Q}{2\pi T}$$
,  $w = \frac{\Omega}{2\pi T}$ ,  $\frac{m}{T} \ll 1$  contribute to the sum

# **KSS** bound

$$\frac{\eta_X}{\eta_E} \ge 1 \Longrightarrow \frac{\eta_{\tilde{X}}}{\eta_E} \ge 1$$

True also for  $r \rightarrow infinity = AdS$  boundary  $\rightarrow$  valid for FT dual of bulk theory

 $s_{\widetilde{X}} = s_E$ 

Valid for FT by the gauge-gravity duality

$$\left(\frac{\eta}{s}\right)_X \ge \left(\frac{\eta}{s}\right)_E = \frac{1}{4\pi}$$

# Example: KK model

Einstein gravity D=4+n compactified on an n-torus of radius R.Two regimes: Hawking thermal wavelength >> RT R << 1</td>Hawking thermal wavelength << R</td>T R >> 1

$$[\mathcal{D}_{KK}]_{x \ y}^{y \ x} \sim R^2 G_E \sum_{i=1}^n \sum_{k_i=1}^\infty \frac{\rho_{k_i}(q^2)}{R^2 q^2 - k_i^2} \begin{cases} m_{k_i} \sim k_i/R \\ \rho_{k_i}(q^2) \ge 0 \end{cases} \\ m \sim 1/R \ll T \quad \text{qR} \gg 1 \end{cases} D_{KK} \sim qR \frac{G_E}{q^2} \gg \frac{G_E}{q^2}$$

KK contribution dominates  $\rightarrow \eta \sim TR \eta_E >> \eta_E$ 

# Example: KK model

Entropy density remains the same!

$$S_{4+n} \sim \frac{A_{2+n}}{G_{4+n}} \sim \frac{r_h^2 R^n}{G_4 R^n} \sim \frac{r_h^2}{G_4} \sim S_4$$

\_

For TR >> 1:

$$\left(\frac{\eta}{s}\right)_{KK} \sim TR\left(\frac{\eta}{s}\right)_{E} \gg \left(\frac{\eta}{s}\right)_{E}$$

# Example: 5D GB gravity

$$\mathcal{L} = \mathcal{R} + 12 + \lambda G_E \left[ \mathcal{R}_{abcd} \mathcal{R}^{abcd} - 4 \mathcal{R}_{ab} \mathcal{R}^{ab} + \mathcal{R}^2 \right]$$

$$\mathcal{L}_{kin} = \left[ (1 - 8\lambda) \left( h_{ab} \Box h^{ab} - h \Box h \right) + 4\lambda \sum_{a,b \neq a}^{\{t,r,x,y,z\}} \mathcal{R}^{ab}_{\ ab} \left( h_{ab} \Box h^{ab} - h^a_a \Box h^b_b \right) \right]$$
$$-g_{tt} = g^{rr} = r^2 \left[ 1 - \frac{r_h^4}{r^4} \right] \quad g_{xx} = g_{yy} = g_{zz} = r^2 \quad r_h = \pi T$$

#### On horizon (for example)

$$\mathcal{L}_{kin} = \left[ h_{ab} \Box h^{ab} - h \Box h - 8\lambda \left( \sum_{a,b}^{\{x,y,z\}} + 4 \sum_{a}^{\{r,t\}} \sum_{b}^{\{x,y,z\}} \right) \left( h_{ab} \Box h^{ab} - h_a^a \Box h_b^b \right) \right]$$

No contributions from rt gravitons => no corrections to entropy density

# Example: 5D GB gravity

$$\mathcal{L} = \mathcal{R} + 12 + \lambda G_E \left[ \mathcal{R}_{abcd} \mathcal{R}^{abcd} - 4 \mathcal{R}_{ab} \mathcal{R}^{ab} + \mathcal{R}^2 \right]$$

xy gravitons on horizon (similarly elsewhere)

$$\mathcal{L}_{kin} = \sum_{a,b\neq a}^{\{x,y,z\}} \left[ (1-8\lambda) h_{ab} \Box h^{ab} \right]$$

$$\eta_{GB} = (1 - 8\lambda)\eta_E$$

Entropy density remains the same as in Eir stein Violation of the KSS bound  $\leftarrow \rightarrow$  ghost contribution

# Summary & conclusions

 $\eta / s \ge 1 / 4\pi$ 

For extensions of Einstein gravity and their FT duals

- Unitarity
- Entropy ← → Geometry
- Is it possible to do better?